

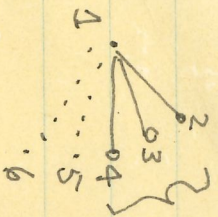
## Ramsey's Theorem

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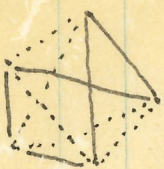
If you ask a combinatorist what sort of subject is Ramsey's Theorem he will say that it is a generalization of the pigeon-hole principle which includes the following statement: ~~As~~ In any group of six people there are either 3 people who know each other or 3 people who do not know either of the other two.

Replacing people with vertices of a <sup>the complete</sup> graph  $G$  of vertices and coloring the edges red or green if dependent on whether the pair knows or does not know each other Ramsey theorem implies there is a triangle of one color as a subgraph.

This is easy to see. Each vertex has 5 edges so at least three are of same color (say green). Now if any of the edges  $(2,3), (3,4)$  or  $(4,2)$  are green this gives a green  $\Delta$  with 1. Otherwise  $\Delta 234$  is red.



It is also easy to see that this need not happen with 5 vertices as the following graph shows.



There is a finite and infinite version of Ramsey's Theorem (as well as a third way of stating it)

Ramsey Theorem: (finite form) For each  $k, j$  and integers  $r, s, \dots, q_i$   $\forall i \geq k$  there is an integer  $m$  such that if  $f$  is any  $r$ -element subsets  $P_k(\{1, \dots, m\})$  to  $\{1, \dots, j\}$  then  $f$  contains a  $k$ -element subset  $Q$  and  $Q$  is  $q_i$ -element  $\forall i$ .



Some observations:

(1) Let  $q = \max \{q_i : 1 \leq i \leq j\}$ , then  $R(q_1, \dots, q_j, k, j) \leq R(q_1, \dots, q, k, j)$  so we may assume that the  $q_i$ 's are equal, we don't because of the proof.

(2) The existence of  $R(q_1, \dots, q_j, k, j)$  follows from the existence of  $R(q_1, q_2, k, 2)$  i.e. by first grouping the values  $\{2, 3\}$  we have  $R(q_1, q_2, q_3, k, 3) \leq R(q_1, R(q_2, q_3, k, 2), k, 2)$  etc.

Proof: Thus it suffices to show  $R(q_1, q_2, k, 2)$  exists for  $q_1, q_2 \geq k$ . (Obviously  $R(q_1, k, 1) = q_1$ )

For  $k=1$ , this is the pigeon-hole principle

$$R(q_1, q_2, 1, 2) = q_1 + q_2 - 1.$$

Two special cases if  $q_1, q_2 \geq k$

$$(A) R(q_1, k, k, 2) = q_1$$

$$(B) R(k, q_2, k, 2) = q_2 \text{ (resp. (B))}$$

This because in (A) if any  $k$ -tuple is mapped to  $\mathbb{R}$

(resp. 1) this is ~~the~~ require subset, otherwise the whole set is map to 1 (resp 2) and the whole set works.

~~Next~~ Induction follows from

$$R(q_1, q_2, k, 2) \leq R(R(q_1-1, q_2, k, 2), R(q_1, q_2-1, k, 2), k-1, 2) + 1$$

To see this let  $f$  be a function  $\rightarrow \{1, 2\}$  on the

$k$ -element subsets of a set  $A$  of the size on the right (or bigger)

let  $*$  be any element in this set  $A$  and let  $B = A \setminus \{*\}$ .

let  $g: \mathcal{P}_{k-1}(B) \rightarrow \{1, 2\}$  be defined by  $g(x) = f(x \cup \{*\})$ .

either  $\exists C$  with  $|C| \geq R(q_1-1, q_2, k, 2)$  that  $g$  maps to 1

or  $\exists C$  with  $|C| \geq R(q_1, q_2-1, k, 2)$  that  $g$  maps to 2

(By symmetry it does not matter, say the first) Then either  $\exists D \subset C$  with  $|D| \geq q_1-1$  that  $f$  maps to 1

and  $D \cup \{*\}$  is the required set

or  $\exists D \subset C$  with  $|D| \geq q_2$  that  $f$  maps to 2

and  $D$  is the required set.

from works  $R(q_1, q_2, k) \geq R(R_1, R_2, k)$  if  $k > k$



The Ramsey numbers are not determined other than

$$R(3, 3, 2, 2) = 6$$

$$R(3, 4, 2, 2) = 9$$

$$R(4, 4, 2, 2) = 18$$

$$R(3, 5, 2, 2) = 14$$

$$R(3, 3, 3, 2, 2) = 17.$$

1963 (latter books do not list any more)

Infinite Ramsey, for all positive integers  $k$ ,  
If  $f: \mathcal{P}(\mathbb{N}) \rightarrow \{1, \dots, j\}$  then there  
is  $A \subset \mathbb{N}$  with  $A$  infinite and  $f|_{\mathcal{P}(A)} \equiv \text{constant}$ .

Proof: As before the case  $j > 2$  follows the case  $j = 2$ .  
and the case  $j = 1$  is the pigeon-hole principle.  
(The case  $j = 1$  is obvious with  $A = \mathbb{N}$ ).



Infinite Ramsey 2-subsets divided between red & green:

Given:  $f: \mathcal{P}_2(\mathbb{N}) \rightarrow \{\text{red}, \text{green}\}$

Define: for  $n \in \mathbb{N}$   $R_n$  (resp.  $G_n$ ) to be the set  $\{i > n : f(\{n, i\}) = \text{red}$  (resp. green)  $\}$ .

Claim 1: If there is an infinite  $A \subseteq \mathbb{N}$  so that for each  $n \in A$   $A \setminus G_n$  is finite, then there is an infinite  $B \subseteq A$  with  $f \upharpoonright \mathcal{P}_2(B) \equiv \text{green}$ .

Pf. of Claim 1: Let  $n_1 \in A$  and inductively choose  $n_{k+1} \in A \cap (\bigcap_{i=1}^k G_{n_i})$ . The co-finite hypothesis implies that  $n_{k+1}$  can be chosen. Let  $B = \{n_i\}_{i=1}^{\infty}$ , if  $a, b \in B$  and  $a < b$  then  $b \in G_a$  and  $f(\{a, b\}) = \text{green}$ .

Consider the procedure, which inductively defines  $n_i$  and  $A_i$ :

(1) Choose  $n_1$  so that  $R_{n_1}$  is infinite

(Note if  $n_1$  does not exist claim 1 applies with  $A = \mathbb{N}$ )

(2) Let  $A_1 = R_{n_1}$

In general choose  $n_{k+1} \in A_k$  so that  $R_{n_{k+1}} \cap A_k$  is infinite and let  $A_{k+1} = R_{n_{k+1}} \cap A_k$ .

(Note if  $n_{k+1}$  does not exist claim 1 applies with  $A = A_k$ )

Finally suppose we can complete the induction, let  $B = \{n_i\}_{i=1}^{\infty}$ . Since  $a, b \in B$ ,  $a < b \Rightarrow b \in R_a$  and  $f(\{a, b\}) = \text{red}$ .

In ~~any~~ case, we have ~~an~~ infinite  $B \subseteq \mathbb{N}$  with  $f \upharpoonright \mathcal{P}_2(B)$  const.

Now assume we know the Infinite Ramsey Theorem with  $k$ -subsets divided between red & green, we will proceed to prove infinite Ramsey with  $k+1$ -subsets divide between red & green.

Given:  $f: \mathcal{P}_{k+1}(\mathbb{N}) \rightarrow \{\text{red}, \text{green}\}$ .

Define: for  $n \in \mathbb{N}$  a function  $f_n: \mathcal{P}_k(\{i \in \mathbb{N} : i > n\}) \rightarrow \{\text{red}, \text{green}\}$  given by if  $x \in \mathcal{P}_k(\{i > n\})$ ,  $f_n(x) = f(x \cup \{n\})$ .



Claim 1: Suppose there is infinite  $A \subseteq \mathbb{N}$  so that for each  $n \in A$  and infinite  $B \subset A \cap \{i: i > n\}$ ,  $\text{green} \in f_n(P_r(B))$   
 Then there is an infinite  $C \subset A$  so that  $f|P_{r+1}(C) \equiv \text{green}$ .

pf of claim 1: - let  $n_1 \in A$  by inductive hypothesis there is infinite  $B_1 \subset A \cap \{i: i > n_1\}$  so that  $f_{n_1}(P_r(B_1))$  is constant and the hypothesis of Claim 1 implies that this constant is green.

In general let  $n_{j+1} \in B_j$  and let  $B_{j+1}$  be an infinite  $\subset B_j \cap \{i: i > n_{j+1}\}$  so that  $f_{n_{j+1}}(P_r(B_{j+1})) \equiv \text{green}$ .  
 Let  $C = \{n_j\}_{j=1}^{\infty}$  if  $a_1 < \dots < a_{k+1}$  are in  $C$   
 then  $f(\{a_1, \dots, a_{k+1}\}) = f_{a_1}(\{a_2, \dots, a_{k+1}\}) = \text{green}$ .

General Procedure: Pick  $n_1$  so that there is an infinite  $A_1 \subset \{i: i > n_1\}$  with  $f_{n_1}(P_r(A_1)) \equiv \text{red}$ .  
 (note if  $n_1$  cannot be chosen then claim 1 applies with  $A = \mathbb{N}$ )

In general pick  $n_{j+1} \in A_j$  so there is an infinite  $A_{j+1} \subset A_j \cap \{i: i > n_{j+1}\}$  with  $f_{n_{j+1}}(P_r(A_{j+1})) \equiv \text{red}$ .  
 (note if  $n_{j+1}$  cannot be chosen then claim 1 applies with  $A = A_j$ )

Now let  $C = \{n_j\}_{j=1}^{\infty}$  if  $a_1 < \dots < a_{k+1}$  are in  $C$   
 then  $f(\{a_1, \dots, a_{k+1}\}) = f_{a_1}(\{a_2, \dots, a_{k+1}\}) = \text{red}$

In any case there is an infinite  $C \subset \mathbb{N}$  with  $f|P_{r+1}(C) \equiv \text{constant}$

To complete the proof of the infinite Ramsey theorem we note that the case  $k=1$  is a special case of the pigeon-hole principle.



The usual application to Banach spaces (Brunel & Sucheston)

Let  $\{e_i\} \subset X$  be a sequence of norm one elements in a norm space let  $q: \mathbb{N} \rightarrow \cup_n \mathbb{Q}^n$  be an infinite to one onto mapping.

Pick subsequences  $(N(i)) \subset \mathbb{N}$  such that if  $q(i+1) = (a_1, \dots, a_m)$  then if  $k < m$  &  $k' < m'$  sit. ~~as~~ integers in  $N(i+1)$  then

$$\| \sum |a_i| e_{N(i)} \| = \| \sum |a_i| e_{N(i)} \| < \frac{1}{i+1}$$

This can be done by Ramsey's theorem since

$\| \sum \alpha_i e_{N(i)} \| \leq \sum |\alpha_i| = R$  &  $\{ \alpha_i : |\alpha_i| \leq R \}$  has a ~~finite~~  $\frac{1}{2}R$ -net.

Diagonalize and define  $\| \sum \alpha_i e_i \| = \lim_{\{n_i\} \rightarrow \infty} \| \sum \alpha_i e_{n_i} \|$

then  $(\text{span } \{e_i\}, \| \cdot \|)$  is finitely representable in  $X$  and is invariant under spreading

$$\| \sum_{i=1}^n \alpha_i e_i \| = \| \sum_{i=1}^n \alpha_i e_{m_i} \| \text{ if } m_1 < m_2 < \dots < m_n$$

If  $\{e_i\}, \| \cdot \|$  is basic, then so is  $\{e_i\}, \| \cdot \|$ .

But it need not be subsymmetric

example: bad complete basis for  $\mathcal{L}^1$   $\| \sum \alpha_i e_i \| = \sup_{\substack{A \subset \{1, \dots, n\} \\ B \cap A = \emptyset}} \sum_{i \in A} |\alpha_i|$

By  $\{e_{2i} - e_{2i+1}\}_{i=1}^{\infty}$  is subsymmetric.

Applications yields  $\mathcal{L}_p^n$ 's in  $X$

Applications in nuclear spaces

I. trouble with zero.

(Infinite partitions are invalid)