## Functional Analysis Problems

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Easy Problems

- 1. If A is and  $n \times n$  matrix with eigenvalue  $\lambda$  and eigenvector x (so that  $Ax = \lambda x$ ) and p(s) is polynomial  $c_0 + c_1 s + \cdots + c_k s^k$ , then the matrix p(A) has eigenvalue  $p(\lambda)$  and eigenvector x. (Spectra Mapping I)
- 2. If A and B are  $n \times n$  matrices both with the same eigenvectors  $x_1, \ldots x_n$ , but the eigenvalues for A are are the distinct  $\lambda_1, \ldots \lambda_n$  and the eigenvalues for B are  $\mu_1, \ldots \mu_n$ . Show there is a polynomial p(s) so that  $p(\lambda_i) = \mu_i$  for  $1 \le i \le n$ . In general, what is the minimal degree of p? Show p(A) = B. (Spectra Mapping II)
- 3. Let A is a  $2 \times 2$  matrix with eigenvectors  $x_1, x_2$  and eigenvalues  $\lambda_1 \neq \lambda_2$ . Suppose B is a  $2 \times 2$  matrix so that AB = BA, show  $x_1, x_2$  are also eigenvectors for B and there is a polynomial p(s), so that p(A) = B.
- 4. Let  $S : \ell^2 \to \ell^2$  be the *shift* operator given by  $S((\alpha_i)_{i \in \mathbb{N}}) = (\beta_i)_{i \in \mathbb{N}}$  where  $\beta_1 = 0$  and  $\beta_{i+1} = \alpha_i$  for  $i \ge 1$ . Show S is not onto, but is one to one, in fact show S is an into isometry, which means ||Sx|| = ||x|| for all vectors x.
- 5. Let  $T : \ell^2 \to \ell^2$  be the backwards *shift* operator given by  $T((\alpha_i)_{i \in \mathbb{N}}) = (\beta_i)_{i \in \mathbb{N}}$  where  $\beta_i = \alpha_{i+1}$  for  $i \ge 1$ . Show T is not one to one, and it has a one dimensional kernel ker  $T = \{x | Tx = 0\}$  but is onto. Furthermore show TS is the identity, but ST is not.
- 6. Let  $D: \ell^2 \to \ell^2$  be the *diagonal* operator given by  $D((\alpha_i)_{i \in \mathbb{N}}) = (\beta_i)_{i \in \mathbb{N}}$  where  $\beta_i = \frac{\alpha_i}{i}$  for  $i \ge 1$ . Show D is not onto, but is one to one.
- 7. Let  $x, y \in H$  a Hilbert space, show  $f(t) = \langle x + ty, x + ty \rangle$  is a differentiable function from  $\mathbb{R} \to \mathbb{R}$  with a unique minimum at t = s so that  $x + sy \perp y$ . (This is the point nearest the origin on a line, which is a translate of a one dimensional subspace.)
- 8. If N is a closed subspace of a Hibert space H and  $x \notin N$ , there there is a  $y \in N^{\perp}$  so that  $\langle y, x \rangle \neq 0$ ; (so y "separates" x from N).
- 9. Suppose  $\{e_n\}$  is an orthonormal basis for a Hilbert space H. Show no subsequence of  $\{e_n\}$  converges (and hence the unit ball  $U = \{x \in H | \|x\| \le 1\}$  is not compact); but for each  $x \in H, \langle x, e_n \rangle \to 0$  as  $n \to \infty$  (this is called weak convergence to 0.)
- 10. If A is an bounded linear operator on a Hilbert space, that  $(\operatorname{image} A)^{\perp} = \ker A^*$  and  $(\operatorname{image} A^*)^{\perp} = \ker A$ .
- 11. Show if V is an operator, so that ||Vx|| = ||x|| then  $\langle Vx, Vy \rangle = \langle x, y \rangle$ ,  $V^*V = I$  and  $VV^*$  is a self adjoint projection. Find  $S^*$ ,  $SS^*$ , and  $T^*$  for the shift operators in Problem 4. Define an operator  $T_2 \neq T$  that is also a left inverse for S.
- 12. Let m(x) be a piecewise continuous function. for  $0 \le x \le 1$  and let A be the operator on  $L^2(0,1)$ :

$$(Af)(x) = m(x)f(x)$$

Show  $||A|| = ||m(x)||_{\infty}$  is the sup of |m(x)| except for possibility finitely many x. When is A self-adjoint? unitary? a self-adjoint projection?

More challenging

- 1. Show the parallelogram law characterizes norms that come from inner products. (Hint: do the real case first.)
- 2. Show  $\ell^2$  has an uncountable linearly independent subset. (Hint1: find an uncountable collection  $\mathscr{C}$  of infinite subsets of  $\mathbb{N}$  so that if  $A \neq B$ ,  $A, B \in \mathscr{C}$ , then  $A \cap B$  is a finite set.) (Hint2: There are couple of ways to find  $\mathscr{C}$ . For each real r find a rational sequence  $(q_i)$  so that  $q_i \to r$ . Or for each  $\theta$ , find all points  $\{(n,m)|n,m\in\mathbb{Z}, |n\sin\theta m\cos\theta| \leq 1\}$  which are the integer lattice points, (n,m), within one unit of the line through the origin with slope  $\tan \theta$ .)
- 3. Suppose H is a non-separable Hilbert space, show there is some uncountable set  $\Gamma$  and an orthonormal set  $\{e_{\gamma} | \gamma \in \Gamma\}$  with dense linear span in H so that  $x \in H$ , if and only if  $\sum |\langle e_{\gamma}, x \rangle|^2 < \infty$  and  $x = \sum \langle e_{\gamma}, x \rangle e_{\gamma}$ . (Hint: the uncountable sum of positive numbers is easy to define as the sup of all finite partial sums. If the uncountable sum is finite, then at most a countable number are non-zero, and so the sum reduces to the usual sum of a sequence.)
- 4. More general idempotent (projection like) operators. Let  $P^2 = P$  be a bounded linear operator on Hilbert space. Let  $X = \{x | Px = x\}$  and  $Y = \{x | Px = 0\}$  and let  $\Delta = \inf\{\|x y\| \| \|x\| = \|y\| = 1, x \in X, y \in Y\}$  Show  $\|P\| = 1/\Delta$ .