

# Visibility of Shafarevich-Tate Groups of Abelian Varieties

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We investigate Mazur's notion of visibility of elements of Shafarevich-Tate groups of abelian varieties. We give a proof that every cohomology class is visible in a suitable abelian variety, discuss the visibility dimension, and describe a construction of visible elements of certain Shafarevich-Tate groups. This construction can be used to give some of the first evidence for the Birch and Swinnerton-Dyer Conjecture for abelian varieties of large dimension. We then give examples of visible and invisible Shafarevich-Tate groups.

*Key Words:* Visibility, Shafarevich-Tate Group, Birch and Swinnerton-Dyer Conjecture, Modular Abelian Variety

## INTRODUCTION

If a genus 0 curve  $X$  over  $\mathbf{Q}$  has a point in every local field  $\mathbf{Q}_p$  and in  $\mathbf{R}$ , then it has a global point over  $\mathbf{Q}$ . For genus 1 curves, this “local-to-global principle” frequently fails. For example, the nonsingular projective curve defined by the equation  $3x^3 + 4y^3 + 5z^3 = 0$  has a point over each local field and  $\mathbf{R}$ , but has no  $\mathbf{Q}$ -point. The Shafarevich-Tate group of an elliptic curve  $E$ , denoted  $\text{III}(E)$ , is a group that measures the extent to which a local-to-global principle fails for the genus one curves with Jacobian  $E$ . More generally, if  $A$  is an abelian variety over a number field  $K$ , then the elements of the Shafarevich-Tate group  $\text{III}(A)$  of  $A$  correspond to the torsors for  $A$  that have a point everywhere locally, but not globally. In this paper, we study a geometric way of realizing (or “visualizing”) torsors corresponding to elements of  $\text{III}(A)$ .

Let  $A$  be an abelian variety over a field  $K$ . If  $\iota : A \hookrightarrow J$  is a closed immersion of abelian varieties, then the subgroup of  $H^1(K, A)$  *visible in  $J$*  (with respect to  $\iota$ ) is  $\ker(H^1(K, A) \rightarrow H^1(K, J))$ . We prove that every element of  $H^1(K, A)$  is visible in some abelian variety, and give bounds on the smallest size of an abelian variety in which an element of  $H^1(K, A)$  is visible. Next assume that  $K$  is a number field. We give a construction of visible elements of  $\text{III}(A)$ , which we demonstrate by giving evidence for the Birch and Swinnerton-Dyer conjecture for a certain 20-dimensional abelian variety. We also give an example of an elliptic curve  $E$  over  $\mathbf{Q}$  of conductor  $N$  whose Shafarevich-Tate group is not visible in  $J_0(N)$  but is visible in  $J_0(Np)$  for some prime  $p$ .

This paper is organized as follows. Section 1 contains the definition of visibility for cohomology classes and elements of Shafarevich-Tate groups. Then in Section 1.3, we use a restriction of scalars construction to prove that every cohomology class is visible in some abelian variety. Next, in Section 2, we investigate the visibility dimension of cohomology classes. Section 3 contains a theorem that can be used to construct visible elements of Shafarevich-Tate groups. The final section, Section 4, contains examples and applications of our visibility results in the context of modular abelian varieties.

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## 1. VISIBILITY

In Section 1.1 we introduce visible cohomology classes, then in Section 1.2 we discuss visible elements of Shafarevich-Tate groups. In Section 1.3, we use restriction of scalars to deduce that every cohomology class is visible somewhere.

For a field  $K$  and a smooth commutative  $K$ -group scheme  $G$ , we write  $H^i(K, G)$  to denote the group cohomology  $H^i(\text{Gal}(K_s/K), G(K_s))$  where  $K_s$  is a fixed separable closure of  $K$ ; equivalently,  $H^i(K, G)$  denotes the  $i$ th étale cohomology of  $G$  viewed as an étale sheaf on  $\text{Spec}(K)_{\text{ét}}$ .

### 1.1. Visible Elements of $H^1(K, A)$

In [Maz99], Mazur introduced the following definition. Let  $A$  be an abelian variety over an arbitrary field  $K$ .

DEFINITION 1.1. Let  $\iota : A \hookrightarrow J$  be an embedding of  $A$  into an abelian variety  $J$  over  $K$ . Then the *visible subgroup of  $H^1(K, A)$  with respect to the embedding  $\iota$*  is

$$\text{Vis}_J(H^1(K, A)) = \text{Ker}(H^1(K, A) \rightarrow H^1(K, J)).$$

The visible subgroup  $\text{Vis}_J(H^1(K, A))$  depends on the choice of embedding  $\iota$ , but we do not include  $\iota$  in the notation, as it is usually clear from context.

The Galois cohomology group  $H^1(K, A)$  has a geometric interpretation as the group of classes of torsors  $X$  for  $A$  (see [LT58]). To a cohomology class  $c \in H^1(K, A)$ , there is a corresponding variety  $X$  over  $K$  and a map  $A \times X \rightarrow X$  that satisfies axioms similar to those for a simply transitive group action. The set of equivalence classes of such  $X$  forms a group, the Weil-Chatelet group of  $A$ , which is canonically isomorphic to  $H^1(K, A)$ .

There is a close relationship between visibility and the geometric interpretation of Galois cohomology. Suppose  $\iota : A \rightarrow J$  is an embedding and  $c \in \text{Vis}_J(H^1(K, A))$ . We have an exact sequence of abelian varieties  $0 \rightarrow A \rightarrow J \rightarrow C \rightarrow 0$ , where  $C = J/A$ . A piece of the associated long exact sequence of Galois cohomology is

$$0 \rightarrow A(K) \rightarrow J(K) \rightarrow C(K) \rightarrow H^1(K, A) \rightarrow H^1(K, J) \rightarrow \cdots,$$

so there is an exact sequence

$$0 \rightarrow J(K)/A(K) \rightarrow C(K) \rightarrow \text{Vis}_J(H^1(K, A)) \rightarrow 0. \quad (1.1)$$

Thus there is a point  $x \in C(K)$  that maps to  $c$ . The fiber  $X$  over  $x$  is a subvariety of  $J$ , which, when equipped with its natural action of  $A$ , lies in the class of torsors corresponding to  $c$ . This is the origin of the terminology “visible”. Also, we remark that when  $K$  is a number field,  $\text{Vis}_J(H^1(K, A))$  is finite because it is torsion and is the surjective image of the finitely generated group  $C(K)$ .

### 1.2. Visible Elements of $\text{III}(A)$

Let  $A$  be an abelian variety over a number field  $K$ . The Shafarevich-Tate group of  $A$ , which is defined below, measures the failure of the local-to-global principle for certain torsors. The *Shafarevich-Tate group* of  $A$  is

$$\text{III}(A) := \text{Ker} \left( H^1(K, A) \rightarrow \prod_v H^1(K_v, A) \right),$$

where the product is over all places of  $K$ .

DEFINITION 1.2. If  $\iota : A \hookrightarrow J$  is an embedding, then the *visible subgroup* of  $\text{III}(A)$  with respect to  $\iota$  is

$$\text{Vis}_J(\text{III}(A)) := \text{III}(A) \cap \text{Vis}_J(H^1(K, A)) = \text{Ker}(\text{III}(A) \rightarrow \text{III}(J)).$$

### 1.3. Every Element is Visible Somewhere

PROPOSITION 1.3. *Every element of  $H^1(K, A)$  is visible in some abelian variety  $J$ .*

*Proof.* Fix  $c \in H^1(K, A)$ . There is a finite separable extension  $L$  of  $K$  such that  $\text{res}_L(c) = 0 \in H^1(L, A)$ . Let  $J = \text{Res}_{L/K}(A_L)$  be the Weil restriction of scalars from  $L$  to  $K$  of the abelian variety  $A_L$  (see [BLR90, §7.6]). Thus  $J$  is an abelian variety over  $K$  of dimension  $[L : K] \cdot \dim(A)$ , and for any scheme  $S$  over  $K$ , we have a natural (functorial) group isomorphism  $A_L(S_L) \cong J(S)$ . The functorial injection  $A(S) \hookrightarrow A_L(S_L) \cong J(S)$  corresponds via Yoneda's Lemma to a natural  $K$ -group scheme map  $\iota : A \rightarrow J$ , and by construction  $\iota$  is a monomorphism. But  $\iota$  is proper and thus is a closed immersion (see [Gro66, §8.11.5]). Using the Shapiro lemma one finds, after a tedious computation, that there is a canonical isomorphism  $H^1(K, J) \cong H^1(L, A)$  which identifies  $\iota_*(c)$  with  $\text{res}_L(c) = 0$ . ■

*Remark 1.4.*

1. In [CM00], de Jong gave a totally different proof of the above proposition in the case when  $A$  is an elliptic curve over a number field. His argument actually displays  $A$  as visible inside the Jacobian of a curve.
2. L. Clozel has remarked that the method of proof above is a standard technique in the theory of algebraic groups.

## 2. THE VISIBILITY DIMENSION

Let  $A$  be an abelian variety over a field  $K$  and fix  $c \in H^1(K, A)$ .

DEFINITION 2.1. The *visibility dimension* of  $c$  is the minimum of the dimensions of the abelian varieties  $J$  such that  $c$  is visible in  $J$ .

In Section 2.1 we prove an elementary lemma which, when combined with the proof of Proposition 1.3, gives an upper bound on the visibility dimension of  $c$  in terms of the order of  $c$  and the dimension of  $A$ . Then, in Section 2.2, we consider the visibility dimension in the case when  $A = E$  is an elliptic curve. After summarizing the results of Mazur and Klenke on the visibility dimension, we apply a theorem of Cassels to deduce that the visibility dimension of  $c \in \text{III}(E)$  is at most the order of  $c$ .

## 2.1. A Simple Bound

The following elementary lemma, which the second author learned from Hendrik Lenstra, will be used to give a bound on the visibility dimension in terms of the order of  $c$  and the dimension of  $A$ .

LEMMA 2.2. *Let  $G$  be a group,  $M$  be a finite (discrete)  $G$ -module, and  $c \in H^1(G, M)$ . Then there is a subgroup  $H$  of  $G$  such that  $\text{res}_H(c) = 0$  and  $\#(G/H) \leq \#M$ .*

*Proof.* Let  $f : G \rightarrow M$  be a cocycle corresponding to  $c$ , so  $f(\tau\sigma) = f(\tau) + \tau f(\sigma)$  for all  $\tau, \sigma \in G$ . Let  $H = \ker(f) = \{\sigma \in G : f(\sigma) = 0\}$ . The map  $\tau H \mapsto f(\tau)$  is a well-defined injection from the coset space  $G/H$  to  $M$ . ■

The following is a general bound on the visibility dimension.

PROPOSITION 2.3. *The visibility dimension of any  $c \in H^1(K, A)$  is at most  $d \cdot n^{2d}$  where  $n$  is the order of  $c$  and  $d$  is the dimension of  $A$ .*

*Proof.* The map  $H^1(K, A[n]) \rightarrow H^1(K, A)[n]$  is surjective and  $A[n]$  has order  $n^{2d}$ , so Lemma 2.2 implies that there is an extension  $L$  of  $K$  of degree at most  $n^{2d}$  such that  $\text{res}_L(c) = 0$ . The proof of Proposition 1.3 implies that  $c$  is visible in an abelian variety of dimension  $[L : K] \cdot \dim A \leq dn^{2d}$ . ■

## 2.2. The Visibility Dimension for Elliptic Curves

We now consider the case when  $A = E$  is an elliptic curve over a number field  $K$ . Mazur proved in [Maz99] that every nonzero  $c \in \text{III}(E)[3]$  has visibility dimension 2 (note that Proposition 2.3 only implies that the visibility dimension is  $\leq 3$ ). Mazur's result is particularly nice because it shows that  $c$  is visible in an abelian variety that is isogenous to the product of two elliptic curves. Using similar techniques, T. Klenke proved in [Kle01] that every nonzero  $c \in H^1(K, E)[2]$  has visibility dimension 2 (note that Proposition 2.3 only implies that the visibility dimension of any  $c \in H^1(K, E)[2]$  is  $\leq 4$ ). It is unknown whether the visibility dimension of every nonzero element of  $H^1(K, E)[3]$  is 2, and it is not known whether elements of  $\text{III}(E)[5]$  must have visibility dimension 2.

When  $c$  lies in  $\text{III}(E)$  we use a classical result of Cassels to strengthen the conclusion of Proposition 2.3.

PROPOSITION 2.4. *Let  $E$  be an elliptic curve over a number field  $K$  and let  $c \in \text{III}(E)$ . Then the visibility dimension of  $c$  is at most the order of  $c$ .*

*Proof.* Let  $n$  be the order of  $c$ . In view of the restriction of scalars construction in the proof of Proposition 1.3, it suffices to show that there is an extension  $L$  of  $K$  of degree  $n$  such that  $\text{res}_L(c) = 0$ . Without the

hypothesis that  $c$  lies in  $\text{III}(E)$ , such an extension  $L$  might not exist, as Cassels observed in [Cas63]. However, in that same paper, Cassels proved that such an  $L$  exists when  $c \in \text{III}(E)$  (see also [O’N01] for another proof).

■

*Remark 2.5.* In contrast to the case of dimension 1, it seems to be an open problem to determine whether or not elements of  $\text{III}(A)[n]$  split over an extension of degree  $n$ .

### 3. CONSTRUCTION OF VISIBLE ELEMENTS

The goal of this section is to state and prove the main result of this paper, which we use to construct visible elements of Shafarevich-Tate groups and sometimes give a nontrivial lower bound for the order of the Shafarevich-Tate group of an abelian variety, thus providing new evidence for the conjecture of Birch and Swinnerton-Dyer (see Section 4.1 and [AS02]). The Tamagawa numbers  $c_{A,v}$  and  $c_{B,v}$  will be defined in Section 3.1 below.

**THEOREM 3.1.** *Let  $A$  and  $B$  be abelian subvarieties of an abelian variety  $J$  over a number field  $K$  such that  $A \cap B$  is finite. Let  $N$  be an integer divisible by the residue characteristics of primes of bad reduction for  $B$ . Suppose  $n$  is an integer such that for each prime  $p \mid n$ , we have  $e_p < p - 1$  where  $e_p$  is the largest ramification of any prime of  $K$  lying over  $p$ , and that*

$$\gcd \left( n, N \cdot \#(J/B)(K)_{\text{tor}} \cdot \#B(K)_{\text{tor}} \cdot \prod_{\text{all places } v} (c_{A,v} \cdot c_{B,v}) \right) = 1,$$

where  $c_{A,v} = \#\Phi_{A,v}(\mathbf{F}_\ell)$  (resp.,  $c_{B,\ell}$ ) is the Tamagawa number of  $A$  (resp.,  $B$ ) at  $v$  (see Section 3.1 for the definition of  $\Phi_{A,v}$ ). Suppose furthermore that  $B[n] \subset A$  as subgroup schemes of  $J$ . Then there is a natural map

$$\varphi : B(K)/nB(K) \rightarrow \text{Vis}_J(\text{III}(A)),$$

such that  $\ker(\varphi) \subset J(K)/(B(K) + A(K))$ . If  $A(K)$  has rank 0, then  $\ker(\varphi) = 0$  (more generally,  $\ker(\varphi)$  has order at most  $n^r$  where  $r$  is the rank of  $A(K)$ ).

*Remark 3.2.* Mazur has proved similar results for elliptic curves using flat cohomology (unpublished), and discussions with him motivated this theorem.

In Section 3.1 we recall a definition of the Tamagawa numbers of an abelian variety. In Section 3.2 we prove a lemma, which gives a condition under which there is an unramified  $n$ th root of an unramified point. In Section 3.3, we use the snake lemma to produce a map

$$B(K)/nB(K) \hookrightarrow \text{Vis}_J(H^1(K, A))$$

with bounded kernel. Finally, in Section 3.4, we use a local analysis at each place of  $K$  to show that the image of the above map lies in  $\text{III}(A)$ .

### 3.1. Tamagawa Numbers

Let  $A$  be an abelian variety over a local field  $K$  with residue class field  $k$ , and let  $\mathcal{A}$  be the Néron model of  $A$  over the ring of integers of  $K$ . The closed fiber  $\mathcal{A}_k$  of  $\mathcal{A}$  need not be connected. Let  $\mathcal{A}_k^0$  denote the geometric component of  $\mathcal{A}$  that contains the identity. The group  $\Phi_{\mathcal{A}} = \mathcal{A}_k/\mathcal{A}_k^0$  of connected components is a finite group scheme over  $k$ . This group scheme is called the *component group* of  $\mathcal{A}$ , and the *Tamagawa number* of  $A$  is  $c_A = \#\Phi_{\mathcal{A}}(k)$ .

Now suppose that  $A$  is an abelian variety over a global field  $K$ . For every place  $v$  of  $K$ , the *Tamagawa number* of  $A$  at  $v$ , denoted  $c_{A,v}$  or just  $c_v$ , is the Tamagawa number of  $A_{K_v}$ , where  $K_v$  is the completion of  $K$  at  $v$ .

### 3.2. Smoothness and Surjectivity

In this section, we recall some well-known lemmas that we will use in Section 3.4 to produce unramified cohomology classes. The authors are grateful to B. Conrad for explaining the proofs of these lemmas.

LEMMA 3.3. *If  $G$  is a finite-type smooth commutative group scheme over a strictly henselian local ring  $R$  and the fibers of  $G$  over  $R$  are (geometrically) connected, then the multiplication map*

$$n_G : G(R) \rightarrow G(R)$$

is surjective when  $n \in R^\times$ .

*Proof.* Pick an element  $g \in G(R)$  and form the cartesian diagram

$$\begin{array}{ccc} Y_g & \xrightarrow{\psi} & \text{Spec}(R) \\ \downarrow & & \downarrow g \\ G & \xrightarrow{n_G} & G \end{array}$$

We want to prove that  $\psi$  has a section. Since  $R$  is strictly henselian, by [Gro67, 18.8.1] it suffices to show that  $Y_g$  is étale over  $R$  with non-empty closed fiber, or more generally that  $n_G$  is étale and surjective.

By Lemma 2(b) of [BLR90, §7.3],  $n_G$  is étale. The image of the étale  $n_G$  must be an open subgroup scheme, and on fibers over  $\text{Spec}(R)$  we get surjectivity since an open subgroup scheme of a smooth connected (hence irreducible) group scheme over a field must fill up the whole space [Gro70, VI<sub>A</sub>, 0.5]. ■

LEMMA 3.4. *Let  $A$  be an abelian variety over the fraction field  $K$  of a strictly henselian dvr (e.g.,  $K$  could be the maximal unramified extension of a local field). Let  $n$  be an integer not divisible by the residue characteristic of  $K$ . Suppose that  $x$  is a point of  $A(K)$  whose reduction lands in the identity component of the closed fiber of the Néron model of  $A$ . Then there exists  $z \in A(K)$  such that  $nz = x$ .*

*Proof.* Let  $\mathcal{A}$  denote the Néron model of  $A$  over the valuation ring  $R$  of  $K$ , and let  $\mathcal{A}^0$  denote the “identity component” (i.e., the open subgroup scheme obtained by removing the non-identity components of the closed fiber of  $\mathcal{A}$ ). The hypothesis on the reduction of  $x \in A(K) = \mathcal{A}(R)$  says exactly that  $x \in \mathcal{A}^0(R)$ . Since connected schemes over a field are geometrically connected when there is a rational point [Gro65, Prop. 4.5.13], the fibers of  $\mathcal{A}^0$  over  $\text{Spec}(R)$  are geometrically connected. The lemma now follows from Lemma 3.3 with  $G = \mathcal{A}^0$ . ■

*Remark 3.5.* M. Baker noted that this argument can also be formulated in terms of formal groups when  $R$  is the strict henselization of a *complete* dvr.

LEMMA 3.6. *Let  $\mathcal{J} \xrightarrow{\phi} \mathcal{C}$  be a smooth surjective morphism of schemes over a strictly Henselian local ring  $R$ . Then the induced map  $\mathcal{J}(R) \rightarrow \mathcal{C}(R)$  is surjective.*

*Proof.* The argument is similar to that of the proof of Lemma 3.3. Pick an element  $g \in \mathcal{C}(R)$  and form the cartesian diagram

$$\begin{array}{ccc} Y_g & \xrightarrow{\psi} & \text{Spec}(R) \\ \downarrow & & \downarrow g \\ \mathcal{J} & \xrightarrow{\phi} & \mathcal{C} \end{array}$$

We want to prove that  $\psi$  has a section. Since  $\phi$  is smooth,  $\psi$  is also smooth. By [Gro67, 18.5.17], to show that  $\psi$  has a section, we just need to show that the closed fiber of  $\psi$  has a section (i.e., a rational point). But this closed fiber is smooth and non-empty (since  $\phi$  is surjective); also its base field is separably closed since  $R$  is strictly Henselian. Hence by [BLR90, Cor. 2.2.13], the closed fiber has an  $R$ -rational point. ■

### 3.3. Visible Elements of $H^1(K, A)$

In this section, we produce a map  $B(K)/nB(K) \rightarrow \text{Vis}_J(H^1(K, A))$  with bounded kernel.

LEMMA 3.7. *Let  $A$  and  $B$  be abelian subvarieties of an abelian variety  $J$  over a number field  $K$  such that  $A \cap B$  is finite. Suppose  $n$  is a natural*



number such that

$$\gcd(n, \#(J/B)(K)_{\text{tor}} \cdot \#B(K)_{\text{tor}}) = 1$$

and  $B[n] \subset A$  as subgroup schemes of  $J$ . Then there is a natural map

$$\varphi : B(K)/nB(K) \rightarrow \text{Vis}_J(H^1(K, A))$$

such that  $\ker(\varphi) \subset J(K)/(B(K) + A(K))$ . If  $A(K)$  has rank 0, then  $\ker(\varphi) = 0$  (more generally,  $\ker(\varphi)$  has order at most  $n^r$  where  $r$  is the rank of  $A(K)$ ).

*Proof.* First we produce a map  $\varphi : B(K)/nB(K) \rightarrow \text{Vis}(H^1(K, A))$  by using that  $B[n] \subset A$  hence a certain map factors through multiplication by  $n$ . Then we use the snake lemma and our hypothesis that  $n$  does not divide the orders of certain torsion groups to bound the dimension of the kernel of  $\varphi$ .

The quotient  $J/A$  is an abelian variety  $C$  over  $K$ . The long exact sequence of Galois cohomology associated to the short exact sequence

$$0 \rightarrow A \rightarrow J \rightarrow C \rightarrow 0$$

begins

$$0 \rightarrow A(K) \rightarrow J(K) \rightarrow C(K) \xrightarrow{\delta} H^1(K, A) \rightarrow \dots \quad (3.1)$$

Let  $\psi$  be map  $B \rightarrow C$  obtained by composing the inclusion  $B \hookrightarrow J$  with the quotient map  $J \rightarrow C$ . Since  $B[n] \subset A$ , we see that  $\psi$  factors through multiplication by  $n$ , so the following diagram commutes:

$$\begin{array}{ccc} B & \xrightarrow{n} & B \\ \downarrow & \searrow \psi & \downarrow \\ A & \longrightarrow & J \longrightarrow C \end{array}$$

Using that  $B[n](K) = \{0\}$ , we obtain the following commutative diagram, all of whose rows and columns are exact:

$$\begin{array}{ccccccc} & & K_0 & & K_1 & & K_2 & & (3.2) \\ & & \downarrow & & \downarrow & & \downarrow & & \\ 0 & \longrightarrow & B(K) & \xrightarrow{n} & B(K) & \longrightarrow & B(K)/nB(K) & \longrightarrow & 0 \\ & & \downarrow & & \downarrow & & \downarrow \varphi & & \\ 0 & \longrightarrow & J(K)/A(K) & \longrightarrow & C(K) & \longrightarrow & \delta(C(K)) & \longrightarrow & 0 \\ & & \downarrow & & & & & & \\ & & K_3 & & & & & & \end{array}$$

where  $K_0$ ,  $K_1$  and  $K_2$  are the indicated kernels and  $K_3$  is the indicated cokernel. Exactness of the top row expresses the fact that  $B[n](K) = \{0\}$ , and the bottom exact row arises from the exact sequence (3.1) above. The first vertical map  $B(K) \rightarrow J(K)/A(K)$  is induced by the inclusion  $B(K) \hookrightarrow J(K)$  composed with the quotient map  $J(K) \rightarrow J(K)/A(K)$ . The second vertical map  $B(K) \rightarrow C(K)$  exists because the composition  $B \hookrightarrow J \rightarrow C$  has kernel  $B \cap A$ , which contains  $B[n]$ , by assumption. The third vertical map exists because  $\pi$  contains  $nB(K)$  in its kernel, so that  $\pi$  factors through  $B(K)/nB(K)$ .

The sequence (1.1) on page 3 implies that the image of  $\varphi$  is contained in  $\text{Vis}_J(H^1(K, A))$ . The snake lemma gives an exact sequence

$$K_0 \rightarrow K_1 \rightarrow K_2 \rightarrow K_3.$$

Because  $B \rightarrow C$  has finite kernel,  $K_1 \subset B(K)_{\text{tor}}$ . Since  $B[n](K) = \{0\}$  and  $K_2$  is an  $n$ -torsion group, the map  $K_1 \rightarrow K_2$  is the 0 map. Thus  $K_2 = \ker(\varphi)$  is isomorphic to a subgroup of  $K_3 = J(K)/(A(K) + B(K))$ , as claimed.

Any torsion in the quotient  $J(K)/B(K)$  is of order coprime to  $n$  because  $J(K)/B(K)$  is a subgroup of  $(J/B)(K)$ , and  $\gcd(n, \#(J/B)(K)_{\text{tor}}) = 1$ , by assumption. Thus if  $A(K)$  is a torsion group,  $K_3 = (J(K)/B(K))/A(K)$  has no nontrivial torsion of order dividing  $n$ , so when  $A(K)$  has rank zero,  $\ker(\varphi) = 0$ .

Consider the map  $\psi : A(K) \rightarrow J(K)/B(K)$ . To show that  $\ker(\psi)$  has order at most  $n^r$ , where  $r$  is the rank of  $A(K)$ , it suffices to show that  $\text{coker}(\psi)[n]$  has order at most  $n^r$ . To prove the latter statement, by the structure theorem for finite abelian groups, it suffices to prove it for the case when  $n$  is a power of a prime. Moreover, we may assume that  $A(K)$  and  $J(K)/B(K)$  have no prime-to- $n$  torsion. Then  $J(K)/B(K)$  is in fact torsion-free, and so we may also assume  $A(K)$  is torsion-free. With these assumptions, the statement we want to prove follows easily by elementary group-theoretic arguments (in particular, by considering of the Smith normal form of the matrix representing  $\psi$ ). ■

### 3.4. Proof of Theorem 3.1

*Proof of Theorem 3.1.* The proof proceeds in two steps. The first step is to use the hypothesis that  $B[n] \subset A$  to produce a map  $B(K)/nB(K) \rightarrow \text{Vis}_J(H^1(K, A))[n]$ . This was done in Section 3.3. The second step is to perform a local analysis at each place  $v$  of  $K$  in order to prove that the image of this map consists of locally-trivial cohomology classes. We divide this local analysis into three cases:

1. When  $v$  is real archimedean, we use that  $\gcd(2, n) = 1$ . (We know that for any  $p \mid n$  we have  $p > 2$  because  $1 \leq e_p < p - 1$ , by assumption.)

2. When  $\gcd(\text{char}(v), n) = 1$ , we use the result of Section 3.2 and a relationship between unramified cohomology and the cohomology of a component group.
3. When  $\gcd(\text{char}(v), n) \neq 1$ , for each prime  $p \mid n$ , the reduction of  $J$  is abelian and by hypothesis  $e_p < p - 1$ , so we can apply an exactness theorem from [BLR90].

We now deduce that the image of  $B(K)/nB(K)$  in  $H^1(K, A)$  lies in  $\text{III}(A)$ . Fix an element  $x \in B(K)$ . To show that  $\pi(x) \in \text{III}(A)$ , it suffices to show that  $\text{res}_v(\pi(x)) = 0$  for all places  $v$  of  $K$ .

**Case 1.  $v$  real archimedean:** At a real archimedean place  $v$ , the restriction  $\text{res}_v(\pi(x))$  is killed by 2 and the odd  $n$ , hence  $\text{res}_v(\pi(x)) = 0$ .

**Case 2.**  $\gcd(\text{char}(v), n) = 1$ : Suppose that  $\gcd(\text{char}(v), n) = 1$ . Let  $m = c_{B,v} = \Phi_{B,v}(\mathbf{F}_v)$  be the Tamagawa number of  $B$  at  $v$ . The reduction of  $mx$  lies in the identity component of the closed fiber  $\mathcal{B}_{\mathbf{F}_v}$  of the Néron model of  $B$  at  $v$ , so by Lemma 3.4, there exists  $z \in B(K_v^{\text{ur}})$  such that  $nz = mx$ . Thus the cohomology class  $\text{res}_v(\pi(mx))$  is defined by a cocycle that sends  $\sigma \in \text{Gal}(\overline{K}_v/K_v)$  to  $\sigma(z) - z \in A(K_v^{\text{ur}})$  (see diagram (3.2) for the definition of  $\pi$ ). In particular,  $\text{res}_v(\pi(mx))$  is unramified at  $v$ . By [Mil86, Prop. 3.8],

$$H^1(K_v^{\text{ur}}/K_v, A(K_v^{\text{ur}})) = H^1(K_v^{\text{ur}}/K_v, \Phi_{A,v}(\overline{\mathbf{F}}_v)),$$

where  $\Phi_{A,v}$  is the component group of  $A$  at  $v$ . The Herbrand quotient of a finite module is 1 (see, e.g., [Ser79, VIII.4.8]), so

$$\#\Phi_{A,v}(\mathbf{F}_v) = \#H^1(K_v^{\text{ur}}/K_v, \Phi_{A,v}(\overline{\mathbf{F}}_v)).$$

Thus the order of  $\text{res}_v(\pi(mx))$  divides both  $\#\Phi_{A,v}(\mathbf{F}_v)$  and  $n$ . Since by assumption  $\gcd(\#\Phi_{A,v}(\mathbf{F}_v), n) = 1$ , it follows that  $\text{res}_v(\pi(mx)) = 0$ , hence  $m \text{res}_v(\pi(x)) = 0$ . Again, since the order of  $\pi(x)$  divides  $n$ , and  $\gcd(n, m) = 1$ , we have  $\text{res}_v(\pi(x)) = 0$ .

**Case 3.**  $\gcd(\text{char}(v), n) = p \neq 1$ : Suppose that  $\text{char}(v) = p \mid n$ . Let  $R$  be the ring of integers of  $K_v^{\text{ur}}$ , and let  $\mathcal{A}$ ,  $\mathcal{J}$ , and  $\mathcal{C}$  be the Néron models of  $A$ ,  $J$ , and  $C$ , respectively. Since  $e_p < p - 1$  and  $J$  has abelian reduction at  $v$  (since  $p \nmid N$ ), by [BLR90, Thm. 7.5.4(iii)], the induced sequence  $0 \rightarrow \mathcal{A} \rightarrow \mathcal{J} \xrightarrow{\phi} \mathcal{C} \rightarrow 0$  is exact, which means that  $\phi$  is faithfully flat and surjective with scheme-theoretic kernel  $\mathcal{A}$ . Since  $\phi$  is faithfully flat with smooth kernel,  $\phi$  is smooth (see, e.g., [BLR90, 2.4.8]). By Lemma 3.6,  $\mathcal{J}(R) \rightarrow \mathcal{C}(R)$  is a surjection; i.e.,  $J(K_v^{\text{ur}}) \rightarrow C(K_v^{\text{ur}})$  is a surjection.

So  $\text{res}_v(\pi(x))$  is unramified, and again by [Mil86, Prop. 3.8],

$$H^1(K_v^{\text{ur}}/K_v, A) \cong H^1(K_v^{\text{ur}}/K_v, \Phi_{A,v}(\overline{\mathbf{F}}_v)).$$

But  $H^1(K_v^{\text{ur}}/K_v, \Phi_{A,v}(\overline{\mathbf{F}}_v)) = \{0\}$ , since  $\Phi_{A,v}(\overline{\mathbf{F}}_v)$  is trivial, as  $A$  has good reduction at  $v$  (because  $p \nmid N$ ). Thus  $\text{res}_v(\pi(x)) = 0$ . ■

## 4. SOME EXAMPLES

This section contains some examples of visible and invisible elements of Shafarevich-Tate groups. Section 4.1 uses Theorem 3.1 to produce nontrivial visible elements of  $\text{III}(A)$ , where  $A$  is a 20-dimensional modular abelian variety, thus giving evidence for the BSD conjecture. In Section 4.2 we show that an invisible Shafarevich-Tate group from [CM00] becomes visible at a higher level.

In [AS02], we describe the notation used below (which is standard) and the algorithms that we used to carry out the computations described below. We also report on a large number of similar computations, which were performed using the second author's modular symbols package, which is part of MAGMA (see [BCP97]).

### 4.1. Visibility in an Abelian Variety of Dimension 20

Using the methods described in [AS02], we find that  $S_2(\Gamma_0(389))$  contains exactly five Galois-conjugacy classes of newforms, and these are defined over extensions of  $\mathbf{Q}$  of degrees 1, 2, 3, 6, and 20. Thus  $J = J_0(389)$  decomposes, up to isogeny, as a product  $A_1 \times A_2 \times A_3 \times A_6 \times A_{20}$  of abelian varieties, where  $d = \dim A_d$  and  $A_d$  is the quotient corresponding to the appropriate Galois-conjugacy class of newforms.

Next we consider the arithmetic of each  $A_d$ . Using [AS02], we find that

$$L(A_1, 1) = L(A_2, 1) = L(A_3, 1) = L(A_6, 1) = 0,$$

and

$$\frac{L(A_{20}, 1)}{\Omega_{A_{20}}} = \frac{5^2 \cdot 2^7}{97},$$

where  $2^7$  is a power of 2. Using [AS02], we find that  $\#A_{20}(\mathbf{Q}) = 97$  and the Tamagawa number of  $A_{20}$  at 389 is also 97. The BSD Conjecture then predicts that  $\#\text{III}(A_{20}) = 5^2 \cdot 2^7$ . The following proposition provides support for this conjecture.

**PROPOSITION 4.1.** *There is an inclusion*

$$(\mathbf{Z}/5\mathbf{Z})^2 \cong A_1(\mathbf{Q})/5A_1(\mathbf{Q}) \hookrightarrow \text{Vis}_J(\text{III}(A_{20}^\vee)).$$

*Proof.* Let  $A = A_{20}^\vee$ ,  $B = A_1^\vee = A_1$  and  $J = A + B \subset J_0(389)$ . Using algorithms in [AS02], we find that  $A \cap B \cong (\mathbf{Z}/4)^2 \times (\mathbf{Z}/5\mathbf{Z})^2$ , so  $B[5] \subset A$ . Since 5 does not divide the numerator of  $(389 - 1)/12$ , it does not divide the Tamagawa numbers or the orders of the torsion subgroups of  $A$ ,  $B$ ,  $J$ , and  $J/B$  (we also verified this using a modular symbols computations), so Theorem 3.1 implies that there is an injective map

$$A_1(\mathbf{Q})/5A_1(\mathbf{Q}) \hookrightarrow \text{Vis}_J(\text{III}(A_{20}^\vee)).$$

To finish, note that Cremona [Cre97] has verified that  $A_1(\mathbf{Q}) \approx \mathbf{Z} \times \mathbf{Z}$ . ■

## 4.2. Invisible Elements that Becomes Visible at Higher Level

Consider the elliptic curve  $E$  of conductor  $5389 = 17 \cdot 317$  defined by the equation

$$y^2 + xy + y = x^3 - 35590x - 2587197.$$

In [CM00], Cremona and Mazur observe that the BSD conjecture implies that  $\#\text{III}(E) = 9$ , but they find that  $\text{Vis}_{J_0(5389)}(\text{III}(E)[3]) = \{0\}$ . We will now verify, without assuming any conjectures, that  $9 \mid \#\text{III}(E)$  and that these 9 elements of  $\text{III}(E)$  are visible in  $J_0(5389 \cdot 7)$ .

First note that the mod 3 representation  $\rho_{E,3}$  attached to  $E$  is irreducible because  $E$  is semistable and admits no 3-isogeny (according to [Cre]). The newform attached to  $E$  is

$$f_E = q + q^2 - 2q^3 - q^4 + 2q^5 - 2q^6 - 2q^7 + \dots,$$

and  $a_7^2 = (-2)^2 \equiv (7+1)^2 \pmod{3}$ , so Ribet's level-raising theorem [Rib90] implies that there is a newform  $g$  of level  $7 \cdot 5389$  that is congruent modulo 3 to  $f_E$ . This observation led us to the following proposition.

**PROPOSITION 4.2.** *Map  $E$  to  $J_0(7 \cdot 5389)$  by the sum of the two maps on Jacobians induced by the two degeneracy maps  $X_0(7 \cdot 5389) \rightarrow X_0(5389)$ . The image  $E'$  of  $E$  in  $J_0(7 \cdot 5389)$  is 2-isogenous to  $E$  and*

$$(\mathbf{Z}/3\mathbf{Z})^2 \subset \text{Vis}_{J_0(7 \cdot 5389)}(\text{III}(E')).$$

*Proof.* It is easy to see from the discussion in [Rib90] that the kernel of the sum of the two degeneracy maps  $J_0(5389) \rightarrow J_0(7 \cdot 5389)$  is a group of 2-power order, so  $E'$  is isogenous to  $E$  via an isogeny of degree a power of 2.

Consider the elliptic curve  $F$  defined by  $y^2 - y = x^3 + x^2 + 34x - 248$ . Using Cremona's programs `tate` and `mwrnk` we find that  $F$  has conductor  $7 \cdot 5389$ , and that  $F(\mathbf{Q}) \cong \mathbf{Z} \times \mathbf{Z}$ . The Tamagawa numbers of  $F$  at 7, 17, and 317 are 1, 2, and 1, respectively. The newform attached to  $F$  is

$$f_F = q - 2q^2 + q^3 + 2q^4 - q^5 - 2q^6 - q^7 + \dots$$

and, by [Stu87], we prove that  $f_E(q) + f_E(q^7) \equiv f_F \pmod{3}$  by checking this congruence for the first  $7632 = [\text{SL}_2(\mathbf{Z}) : \Gamma_0(7 \cdot 5389)]/6$  terms. Since  $2 \leq k < 3$  and  $3 \nmid 7 \cdot 5389$ , the first part of the multiplicity one theorem of [Edi92, §9] implies that  $F[3] = E'[3]$ .

Finally, we apply Theorem 3.1 with  $A = E'$ ,  $B = F$ ,  $J = A + B \subset J_0(7 \cdot 5389)$ ,  $N = 7 \cdot 5389$ , and  $n = 3$ . It is routine to check the hypothesis. For example, the hypothesis that  $J/B$  has no  $\mathbf{Q}$ -rational 3-torsion can be checked as follows. Cremona's online tables imply that  $E$  admits no 3-isogeny, so  $E[3]$  is irreducible. Since  $J/B$  is isogenous to  $E$ , the representation  $(J/B)[3]$  is also irreducible, so  $(J/B)(\mathbf{Q})[3] = \{0\}$ . Thus, by

Theorem 3.1, we have  $(\mathbf{Z}/3\mathbf{Z})^2 \subset \text{Vis}_J(\text{III}(E'))$ . To finish the proof, note that  $\text{Vis}_J(\text{III}(E')) \subset \text{Vis}_{J_0(7.5389)}(\text{III}(E'))$ . ■

Since  $E'$  is 2-isogenous to  $E$  and  $9 \mid \#\text{III}(E')$ , it follows that  $9 \mid \#\text{III}(E)$ , as predicted by the BSD conjecture.

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