

## THE MANIN CONSTANT

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## ABSTRACT.

The Manin constant of an elliptic curve is an invariant that arises in connection with the conjecture of Birch and Swinnerton-Dyer. One conjectures that this constant is 1; it is known to be an integer. After surveying what is known about the Manin constant, we establish a new sufficient condition that ensures that the Manin constant is an *odd* integer. Next, we generalize the notion of the Manin constant to certain abelian variety quotients of the Jacobians of modular curves; these quotients are attached to ideals of Hecke algebras. We also generalize many of the results for elliptic curves to quotients of the new part of  $J_0(N)$ , and conjecture that the generalized Manin constant is 1 for newform quotients.

## 1 INTRODUCTION

Let  $E$  be an elliptic curve over  $\mathbf{Q}$ , and let  $N$  be the conductor of  $E$ . By [BCDT01], we may view  $E$  as a quotient of the modular Jacobian  $J_0(N)$ . After possibly replacing  $E$  by an isogenous curve, we may assume that the kernel of the map  $J_0(N) \rightarrow E$  is connected, i.e., that  $E$  is an *optimal* quotient of  $J_0(N)$ .

Let  $\omega$  be the unique (up to sign) minimal differential on a minimal Weierstrass model of  $E$ . The pullback of  $\omega$  is a rational multiple  $c$  of the differential associated to the normalized new cuspidal eigenform  $f_E \in S_2(\Gamma_0(N))$  associated to  $E$ . The absolute value of  $c$  is the Manin constant  $c_E$  of  $E$ . The Manin constant plays a role in the conjecture of Birch and Swinnerton-Dyer (see, e.g., [GZ86, p. 130]) and in work on modular parametrizations (see [Ste89, SW04, Vat05]). It is known that the Manin constant is an integer; this fact is important to Cremona's computations of elliptic curves (see

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[Cre97, pg. 45]), and algorithms for computing special values of elliptic curve  $L$ -functions. Manin conjectured that  $c_E = 1$ . In Section 2, we summarize known results about  $c_E$ , and give the new result that  $2 \nmid c_E$  if  $2$  is not a congruence prime and  $4 \nmid N$ .

In Section 3, we generalize the definition of the Manin constant and many of the results mentioned so far to optimal quotients of  $J_0(N)$  and  $J_1(N)$  of any dimension associated to ideals of the Hecke algebra. The generalized Manin constant comes up naturally in studying the conjecture of Birch and Swinnerton-Dyer for such quotients (see [AS05, §4]), which is our motivation for studying the generalization. We state what we can prove about the generalized Manin constant, and make a conjecture that the constant is also 1 for quotients associated to newforms. The proofs of the theorems stated in Section 3 are in Section 4. Section 5 is an appendix written by J. Cremona about computational verification that the Manin constant is 1 for many elliptic curves.

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## 2 OPTIMAL ELLIPTIC CURVE QUOTIENTS

Let  $N$  be a positive integer and let  $X_0(N)$  be the modular curve over  $\mathbf{Q}$  that classifies isomorphism classes of elliptic curves with a cyclic subgroup of order  $N$ . The Hecke algebra  $\mathbf{T}$  of level  $N$  is the subring of the ring of endomorphisms of  $J_0(N) = \text{Jac}(X_0(N))$  generated by the Hecke operators  $T_n$  for all  $n \geq 1$ . Suppose  $f$  is a newform of weight 2 for  $\Gamma_0(N)$  with integer Fourier coefficients, and let  $I_f$  be kernel of the homomorphism  $\mathbf{T} \rightarrow \mathbf{Z}[\dots, a_n(f), \dots]$  that sends  $T_n$  to  $a_n(f)$ . Then the quotient  $E = J_0(N)/I_f J_0(N)$  is an elliptic curve over  $\mathbf{Q}$ . We call  $E$  the *optimal quotient* associated to  $f$ . Composing the embedding  $X_0(N) \hookrightarrow J_0(N)$  that sends  $\infty$  to 0 with the quotient map  $J_0(N) \rightarrow E$ , we obtain a surjective morphism of curves  $\phi_E : X_0(N) \rightarrow E$ .

DEFINITION 2.1 (MODULAR DEGREE). The *modular degree*  $m_E$  of  $E$  is the degree of  $\phi_E$ .

Let  $E_{\mathbf{Z}}$  denote the Néron model of  $E$  over  $\mathbf{Z}$  (see, e.g., [Sil92, App. C, §15], [Sil94] and [BLR90]). Let  $\omega$  be a generator for the rank 1  $\mathbf{Z}$ -module of invariant differential 1 forms on  $E_{\mathbf{Z}}$ . The pullback of  $\omega$  to  $X_0(N)$  is a differential  $\phi_E^* \omega$  on  $X_0(N)$ . The newform  $f$  defines another differential  $2\pi i f(z) dz = f(q) dq/q$  on  $X_0(N)$ . Because the action of Hecke operators is compatible with the map  $X_0(N) \rightarrow E$ , the differential  $\phi_E^* \omega$  is a  $\mathbf{T}$ -eigenvector with the same eigenvalues as  $f(z)$ , so by [AL70] we have  $\phi_E^* \omega = c \cdot 2\pi i f(z) dz$  for some  $c \in \mathbf{Q}^*$  (see also [Man72, §5]).

DEFINITION 2.2 (MANIN CONSTANT). The *Manin constant*  $c_E$  of  $E$  is the absolute value of the rational number  $c$  defined above.

The following conjecture is implicit in [Man72, §5].

CONJECTURE 2.3 (MANIN). *We have  $c_E = 1$ .*

Significant progress has been made towards this conjecture. In the following list of theorems,  $p$  denotes a prime and  $N$  denotes the conductor of  $E$ .

THEOREM 2.4 (EDIXHOVEN [EDI91, PROP. 2]). *The constant  $c_E$  is an integer.*

Edixhoven proved this using an integral  $q$ -expansion map, whose existence and properties follow from results in [KM85]. We generalize his theorem to quotients of arbitrary dimension in Section 3.

THEOREM 2.5 (MAZUR, [MAZ78, COR. 4.1]). *If  $p \mid c_E$ , then  $p^2 \mid 4N$ .*

Mazur proved this by applying theorems of Raynaud about exactness of sequences of differentials, then using the “ $q$ -expansion principle” in characteristic  $p$  and a property of the Atkin-Lehner involution. We generalize Mazur’s theorem in Section 3.

The following two results refine the above results at  $p = 2$ .

THEOREM 2.6 (RAYNAUD [AU96, PROP. 3.1]). *If  $4 \mid c_E$ , then  $4 \mid N$ .*

THEOREM 2.7 (ABBES-ULLMO [AU96, THM. A]). *If  $p \mid c_E$ , then  $p \mid N$ .*

We generalize Theorem 2.6 in Section 3. However, it is not clear if Theorem 2.7 generalizes to dimension greater than 1. It would be fantastic if the theorem could be generalized. It would imply that the Manin constant is 1 for newform quotients  $A_f$  of  $J_0(N)$ , with  $N$  odd and square free, which be useful for computations regarding the conjecture of Birch and Swinnerton-Dyer.

B. Edixhoven also has unpublished results (see [Edi89]) which assert that the only primes that can divide  $c_E$  are 2, 3, 5, and 7; he also gives bounds that are independent of  $E$  on the valuations of  $c_E$  at 2, 3, 5, and 7. His arguments rely on the construction of certain stable integral models for  $X_0(p^2)$ .

See the appendix (Section 5) for a discussion of the following computational theorem:

THEOREM 2.8 (CREMONA). *If  $E$  is an optimal elliptic curve over  $\mathbf{Q}$  with conductor at most 60000 (or with conductor  $< 130000$ , except possibly for 14 exceptions), then  $c_E = 1$ .*

To the above list of theorems we add the following:

THEOREM 2.9. *If  $p \mid c_E$  then  $p^2 \mid N$  or  $p \mid m_E$ .*

This theorem is a special case of Theorem 3.9 below. In view of Theorem 2.5, our new contribution is that if  $m_E$  is odd and  $\text{ord}_2(N) = 1$ , then  $c_E$  is odd. This hypothesis is *very stringent*—of the optimal elliptic curve quotients of conductor  $\leq 120000$ , only 56 of them satisfy the hypothesis. In the notation of [Cre], they are

14a, 46a, 142c, 206a, 302b, 398a, 974c, 1006b, 1454a, 1646a, 1934a, 2606a, 2638b, 3118b, 3214b, 3758d, 4078a, 7054a, 7246c, 11182b, 12398b, 12686c, 13646b, 13934b, 14702c, 16334b, 18254a, 21134a, 21326a, 22318a, 26126a, 31214c, 38158a, 39086a, 40366a, 41774a, 42638a, 45134a, 48878a, 50894b, 53678a, 54286a, 56558f, 58574b, 59918a, 61454b, 63086a, 63694a, 64366b, 64654b, 65294a, 65774b, 71182b, 80942a, 83822a, 93614a

Each of the curves in this list has conductor  $2p$  with  $p \equiv 3 \pmod{4}$  prime. The situation is similar to that of [SW04, Conj. 4.2], which suggests there are infinitely many such curves. See also [CE05] for a classification of elliptic curves with odd modular degree.

### 3 QUOTIENTS OF ARBITRARY DIMENSION

For  $N \geq 4$ , let  $\Gamma$  be either  $\Gamma_0(N)$  or  $\Gamma_1(N)$ , let  $X$  be the modular curve over  $\mathbf{Q}$  associated to  $\Gamma$ , and let  $J$  be the Jacobian of  $X$ . Let  $I$  be a *saturated* ideal of the corresponding Hecke algebra  $\mathbf{T}$ , so  $\mathbf{T}/I$  is torsion free. Then  $A = A_I = J/IJ$  is an optimal quotient of  $J$  since  $IJ$  is an abelian subvariety.

For a newform  $f = \sum a_n(f)q^n \in S_2(\Gamma)$ , consider the ring homomorphism  $\mathbf{T} \rightarrow \mathbf{Z}[\dots, a_n(f), \dots]$  that sends  $T_n$  to  $a_n(f)$ . The kernel  $I_f \subset \mathbf{T}$  of this homomorphism is a prime ideal of  $\mathbf{T}$ .

**DEFINITION 3.1 (NEWFORM QUOTIENT).** The *newform quotient*  $A_f$  associated to  $f$  is the quotient  $J/I_f J$ .

Shimura introduced  $A_f$  in [Shi73] where he proved that  $A_f$  is an abelian variety over  $\mathbf{Q}$  of dimension equal to the degree of the field  $\mathbf{Q}(\dots, a_n(f), \dots)$ . He also observed that there is a natural map  $\mathbf{T} \rightarrow \text{End}(A_f)$  with kernel  $I_f$ .

For the rest of this section, fix a quotient  $A$  associated to a saturated ideal  $I$  of  $\mathbf{T}$ ; note that  $A$  may or may not be attached to a newform.

#### 3.1 GENERALIZATION TO QUOTIENTS OF ARBITRARY DIMENSION

If  $R$  is a subring of  $\mathbf{C}$ , let  $S_2(R) = S_2(\Gamma; R)$  denote the  $\mathbf{T}$ -submodule of  $S_2(\Gamma; \mathbf{C})$  consisting of cuspforms whose Fourier expansions at  $\infty$  have coefficients in  $R$ . Note that  $S_2(R) \cong S_2(\mathbf{Z}) \otimes R$ . If  $B$  is an abelian variety over  $\mathbf{Q}$  and  $n$  is a positive integer, we denote by  $B_{\mathbf{Z}[1/n]}$  the Néron model of  $B$  over  $\mathbf{Z}[1/n]$ .

The inclusion  $X \hookrightarrow J$  that sends the cusp  $\infty$  to 0 induces an isomorphism

$$H^0(X, \Omega_{X/\mathbf{Q}}) \cong H^0(J, \Omega_{J/\mathbf{Q}}).$$

Let  $\phi_2$  denote our fixed choice of optimal quotient map  $J \rightarrow A$ . Then  $\phi_2^*$  induces an inclusion  $\psi : H^0(A_{\mathbf{Z}}, \Omega_{A/\mathbf{Z}}) \hookrightarrow H^0(J, \Omega_{J/\mathbf{Q}})[I] \cong S_2(\mathbf{Q})[I]$ , and we

have the following commutative diagram:

$$\begin{array}{ccccc}
 H^0(A, \Omega_{A/\mathbf{Q}}) & \hookrightarrow & H^0(J, \Omega_{J/\mathbf{Q}})[I] & \xrightarrow{\cong} & S_2(\mathbf{Q})[I] \\
 \uparrow & & \nearrow \psi & & \uparrow \\
 H^0(A_{\mathbf{Z}}, \Omega_{A/\mathbf{Z}}^1) & & & & S_2(\mathbf{Z})[I]
 \end{array}$$

DEFINITION 3.2. The *Manin constant* of  $A$  is the (lattice) index

$$c_A = [S_2(\mathbf{Z})[I] : \psi(H^0(A_{\mathbf{Z}}, \Omega_{A/\mathbf{Z}}^1))].$$

Theorem 3.3 below asserts that  $c_A \in \mathbf{Z}$ , so we may also consider the Manin module of  $A$ , which is the quotient  $M = S_2(\mathbf{Z})[I]/\psi(H^0(A_{\mathbf{Z}}, \Omega_{A/\mathbf{Z}}^1))$ , and the Manin ideal of  $A$ , which is the annihilator of  $M$  in  $\mathbf{T}$ .

If  $A$  is an elliptic curve, then  $c_A$  is the usual Manin constant as in Definition 2.2. The constant  $c$  as defined above was also considered by Gross [Gro82, 2.5, p.222] and Lang [Lan91, III.5, p.95]. The constant  $c_A$  was defined for the winding quotient in [Aga99], where it was called the generalized Manin constant. A Manin constant is defined in the context of  $\mathbf{Q}$ -curves in [GL01].

### 3.2 MOTIVATION: CONNECTION WITH THE CONJECTURE OF BIRCH AND SWINNERTON-DYER

On a Néron model, the global differentials are the same as the invariant differentials, so  $H^0(A_{\mathbf{Z}}, \Omega_{A/\mathbf{Z}}^1)$  is a free  $\mathbf{Z}$ -module of rank  $d = \dim(A)$ . The *real measure*  $\Omega_A$  of  $A$  is the measure of  $A(\mathbf{R})$  with respect to the measure given by  $\wedge^d H^0(A_{\mathbf{Z}}, \Omega_{A/\mathbf{Z}}^1)$ . This quantity is of interest because it appears in the conjecture of Birch and Swinnerton-Dyer, which expresses the ratio  $L^{(r)}(A, 1)/\Omega_A$ , in terms of arithmetic invariants of  $A$ , where  $r = \text{ord}_{s=1} L(A, s)$  (see, e.g., [Lan91, Chap. III, §5] and [AS05, §2.3]).

The differentials corresponding to a  $\mathbf{Z}$ -basis of  $S_2(\mathbf{Z})[I]$  give a  $\mathbf{Q}$ -basis of  $H^0(A, \Omega_{A/\mathbf{Q}}^1)$ ; let  $\Omega'_A$  denote the measure of  $A(\mathbf{R})$  with respect to the wedge product of the elements of this basis. In doing calculations or proving formulas regarding the ratio in the Birch and Swinnerton-Dyer conjecture mentioned above, it is easier to work with the volume  $\Omega'_A$  instead of working with  $\Omega_A$  (see, e.g., [AS05, §4.2]). If one works with the easier-to-compute volume  $\Omega'_A$  instead of  $\Omega_A$ , it is necessary to obtain information about  $c_A$  in order to make conclusions regarding the conjecture of Birch and Swinnerton-Dyer, since  $\Omega_A = c_A \cdot \Omega'_A$ .

The method of Section 5 for verifying that  $c_A = 1$  for specific elliptic curves is of little use when applied to general abelian varieties, since there is no simple analogue of the minimal Weierstrass model (but see [GL01] for  $\mathbf{Q}$ -curves). This emphasizes the need for general theorems regarding the Manin constant of quotients of dimension bigger than one.

## 3.3 RESULTS AND A CONJECTURE

We start by giving several results regarding the Manin constant for quotients of arbitrary dimension. The proofs of the theorems are given in Section 4.

We have the following generalization of Edixhoven's Theorem 2.4; we give its proof in Section 4.1.

**THEOREM 3.3.** *The Manin constant  $c_A$  is an integer.*

For each prime  $\ell \mid N$  with  $\text{ord}_\ell(N) = 1$ , let  $W_\ell$  be the  $\ell$ th Atkin-Lehner operator. In the following theorem, we view  $W_\ell$  as an operator on  $S_2(\mathbf{Q}_\ell)$ .

**THEOREM 3.4.** *Recall that  $A$  is an optimal quotient of  $J = J_0(N)$ . Let  $\ell$  be an odd prime such that  $\ell^2 \nmid N$ . If  $\ell \mid N$ , then suppose that  $W_\ell \cdot H^0(A_{\mathbf{Z}_\ell}, \Omega_{A_{\mathbf{Z}_\ell}}) \subseteq S_2(\mathbf{Z}_\ell)$ . Under these hypotheses,  $\ell \nmid c_A$ .*

We will prove this theorem in Section 4.3.

Let  $J_{\text{old}}$  denote the abelian subvariety of  $J$  generated by the images of the degeneracy maps from levels that properly divide  $N$  (see, e.g., [Maz78, §2(b)]) and let  $J^{\text{new}}$  denote the quotient of  $J$  by  $J_{\text{old}}$ . A *new quotient* is a quotient  $J \rightarrow A$  that factors through the map  $J \rightarrow J^{\text{new}}$ . The following corollary generalizes Mazur's Theorem 2.5:

**COROLLARY 3.5.** *If  $A$  is an optimal new quotient of  $J_0(N)$  and  $\ell \mid c_A$  is a prime, then  $\ell = 2$  or  $\ell^2 \mid N$ .*

*Proof.* We verify the hypothesis of Theorem 3.4. Since  $W_\ell = -T_\ell$  on the new subvariety of  $J = J_0(N)$ , it suffices to show that the kernel  $B = \ker(J \rightarrow A)$  is  $\mathbf{T}$ -stable, since then  $\mathbf{T}$  would act on  $A$  hence preserve  $H^0(A_{\mathbf{Z}_\ell}, \Omega_{A_{\mathbf{Z}_\ell}})$  and its image in  $S_2(\mathbf{Z}_\ell)$ . We have  $J_{\text{old}} \subset B$  and  $J_{\text{old}}$  is  $\mathbf{T}$ -stable, so it suffices to show that  $B/J_{\text{old}}$  is  $\mathbf{T}$ -stable. This follows because the newform abelian subvarieties  $A_f^\vee$  occur with multiplicity one in  $J$ , and  $B/J_{\text{old}}$  is isogenous to a product of simple abelian varieties.  $\square$

**REMARK 3.6.** Note that the hypothesis in the third sentence of Theorem 3.4 is satisfied if  $W_\ell$  preserves  $S_2(\mathbf{Z}_\ell)$  (as a subgroup of  $S_2(\mathbf{Q}_\ell)$ ). This latter condition need not hold when  $A$  is not new. For example, if  $A = J_0(33)$ , then

$$W_3 = \begin{pmatrix} 1 & 0 & 0 \\ \frac{1}{3} & \frac{1}{3} & -\frac{4}{3} \\ \frac{1}{3} & -\frac{2}{3} & -\frac{1}{3} \end{pmatrix}$$

with respect to the basis

$$\begin{aligned} f_1 &= q - q^5 - 2q^6 + 2q^7 + \cdots, \\ f_2 &= q^2 - q^4 - q^5 - q^6 + 2q^7 + \cdots, \\ f_3 &= q^3 - 2q^6 + \cdots \end{aligned}$$

for  $S_2(\mathbf{Z})$ . Thus  $W_3$  does not preserve  $S_2(\mathbf{Z}_3)$ . In fact, the Manin constant is not 1 in this case (see Section 3.4.2).

At the same time, the hypothesis in the third sentence of Theorem 3.4 sometimes does hold for non-new abelian varieties. For example, take  $J = J_0(33)$  and  $\ell = 3$ . Then  $W_3$  acts as an endomorphism of  $J$ , and a computation shows that the characteristic polynomial of  $W_3$  on  $J_{\text{new}}$  is  $(x-1)$  and on  $J_{\text{old}}$  is  $(x-1)(x+1)$ . Consider the optimal elliptic curve quotient  $A = J/(W_3 + 1)J$ , which is isogenous to  $J_0(11)$ . Then  $A$  is an optimal old quotient of  $J$ , and  $W_3$  acts as  $-1$  on  $A$ , so  $W_3$  preserves the corresponding spaces of modular forms. Thus Theorem 3.4 tells us that  $3 \nmid c_A$ .

The following theorem generalizes Raynaud's Theorem 2.6 (see [GL01] for generalizations to  $\mathbf{Q}$ -curves).

**THEOREM 3.7.** *If  $f \in S_2(\Gamma_0(N))$  is a newform and  $\ell$  is a prime such that  $\ell^2 \nmid N$ , then  $\text{ord}_\ell(c_{A_f}) \leq \dim A_f$ .*

Note that in light of Theorem 3.4, this theorem gives new information only at  $\ell = 2$ , when  $2 \parallel N$ . We prove the theorem in Section 4.5

Let  $\pi$  denote the natural quotient map  $J \rightarrow A$ . When we compose  $\pi$  with its dual  $A^\vee \rightarrow J^\vee$ , we get an isogeny  $\phi : A^\vee \rightarrow A$  (for details, see [ARS]).

**DEFINITION 3.8 (MODULAR EXPONENT).** The *modular exponent*  $m_A$  of  $A$  is the exponent of the group  $\ker(\phi)$ .

When  $A$  is an elliptic curve, the modular exponent is just the modular degree of  $A$  (see, e.g., [AU96, p. 278]).

**THEOREM 3.9.** *If  $f \in S_2(\Gamma_0(N))$  is a newform and  $\ell \mid c_{A_f}$  is a prime, then  $\ell^2 \mid N$  or  $\ell \mid m_A$ .*

Again, in view of Theorem 3.4, this theorem gives new information only at  $\ell = 2$ , when  $2 \parallel N$ . We prove the theorem in Section 4.4.

The theorems above suggest that the Manin constant is 1 for quotients associated to newforms of square-free level. In the case when the level is not square free, computations of [FpS<sup>+</sup>01] involving Jacobians of genus 2 curves that are quotients of  $J_0(N)^{\text{new}}$  show that  $c_A = 1$  for 28 two-dimensional newform quotients. These include quotients having the following non-square-free levels:

$$3^2 \cdot 7, \quad 3^2 \cdot 13, \quad 5^3, \quad 3^3 \cdot 5, \quad 3 \cdot 7^2, \quad 5^2 \cdot 7, \quad 2^2 \cdot 47, \quad 3^3 \cdot 7.$$

The above observations suggest the following conjecture, which generalizes Conjecture 2.3:

**CONJECTURE 3.10.** *If  $f$  is a newform on  $\Gamma_0(N)$  or  $\Gamma_1(N)$ , then  $c_{A_f} = 1$ .*

### 3.4 EXAMPLES OF NONTRIVIAL MANIN CONSTANTS

We present two sets of examples in which the Manin constant is not one.

## 3.4.1 JOYCE'S EXAMPLE

Using results of [Kil02], Adam Joyce [Joy05] proves the following:

PROPOSITION 3.11 (JOYCE). *There is a new optimal quotient of  $J_0(431)$  with Manin constant 2.*

Joyce's methods also produce examples with Manin constant 2 at levels 503 and 2089. For the convenience of the reader, we briefly discuss his example at level 431. There are exactly two elliptic curves  $E_1$  and  $E_2$  of prime conductor 431, and  $E_1 \cap E_2 = 0$  as subvarieties of  $J_0(431)$ , so  $A = E_1 \times E_2$  is an optimal quotient of  $J_0(431)$  attached to a saturated ideal  $I$ . If  $f_i$  is the newform corresponding to  $E_i$ , then one finds that  $f_1 \equiv f_2 \pmod{2}$ , and so  $g = (f_1 - f_2)/2 \in S_2(\mathbf{Z})[I]$ . However  $g$  is not in the image of  $H^0(A_{\mathbf{Z}}, \Omega_{A/\mathbf{Z}})$ . Thus the Manin constant of  $A$  is divisible by 2.

## 3.4.2 THE ATKIN-LEHNER OBSTRUCTION

Let  $\Gamma = \Gamma_0(N)$  or  $\Gamma_1(N)$  and  $J = \text{Jac}(X_\Gamma)$ .

PROPOSITION 3.12. *If the Atkin-Lehner operator  $W_\ell$  does not preserve  $S_2(\mathbf{Z}_\ell)$ , then  $\ell \mid c_J$ .*

*Proof.* If  $\ell \nmid c_J$ , then the image of  $H^0(J_{\mathbf{Z}_\ell}, \Omega_{J/\mathbf{Z}_\ell})$  in  $S_2(\mathbf{Z}_\ell)$  equals  $S_2(\mathbf{Z}_\ell)$ . By the Néron mapping property,  $W_\ell$  preserves  $H^0(J_{\mathbf{Z}_\ell}, \Omega_{J/\mathbf{Z}_\ell})$ , i.e., it preserves  $S_2(\mathbf{Z}_\ell)$ . This contradicts the hypothesis.  $\square$

For example, we find by computation for each prime  $\ell \leq 100$  that  $W_\ell$  does not leave  $S_2(\Gamma_0(11\ell); \mathbf{Z})$  invariant. Proposition 3.12 then implies that the Manin constant of  $J_0(11\ell)$  is divisible by  $\ell$  for these values of  $\ell$ .

## 4 PROOFS OF THEOREMS

## 4.1 PROOF OF THEOREM 3.3

Suppose  $\Gamma$  is a subgroup of  $\Gamma_0(N)$  that contains  $\Gamma_1(N)$ . Let  $J = \text{Jac}(X_\Gamma)$  and  $J' = J_1(N)$ . Suppose  $A$  is an optimal quotient of  $J$ . By [CES03, §6.1.2] the Manin constant of  $J'$  is an integer, so  $H^0(J'_{/\mathbf{Z}}, \Omega_{J'/\mathbf{Z}}) \hookrightarrow S_2(\Gamma_1(N); \mathbf{Z})$ . The maps  $J' \rightarrow J \rightarrow A$  induce a chain of inclusions

$$H^0(A_{\mathbf{Z}}, \Omega_{A/\mathbf{Z}}) \hookrightarrow H^0(J_{\mathbf{Z}}, \Omega_{J/\mathbf{Z}}) \hookrightarrow H^0(J'_{/\mathbf{Z}}, \Omega_{J'/\mathbf{Z}}) \hookrightarrow S_2(\Gamma_1(N); \mathbf{Z}) \hookrightarrow \mathbf{Z}[[q]].$$

Combining this chain of inclusions with commutativity of the diagram

$$\begin{array}{ccc} & S_2(\Gamma_1(N)) & \\ f(q) \mapsto f(q) \nearrow & & \searrow q\text{-exp} \\ S_2(\Gamma) & \xrightarrow{q\text{-exp}} & \mathbf{C}[[q]] \end{array}$$

implies that the image of  $H^0(A_{\mathbf{Z}}, \Omega_{A/\mathbf{Z}})$  lies in  $S_2(\mathbf{Z})[I]$ , as claimed.



## 4.2 TWO LEMMAS

In this section, we state two lemmas that will be used in the proofs of Theorems 3.4, 3.7, and 3.9.

LEMMA 4.1. *Suppose  $i : A \hookrightarrow B$  is an injective homomorphism of finitely generated torsion free abelian groups. Let  $C$  be the torsion subgroup of  $B/i(A)$ . Then a prime  $p$  divides  $\#C$  if and only if the induced map  $A \otimes \mathbf{F}_p \rightarrow B \otimes \mathbf{F}_p$  is not injective.*

*Proof.* Let  $D$  denote the quotient  $B/i(A)$ . Tensor the exact sequence  $0 \rightarrow A \rightarrow B \rightarrow D \rightarrow 0$  with  $\mathbf{F}_p$ . The associated long exact sequences reveals that  $\ker(A \otimes \mathbf{F}_p \rightarrow B \otimes \mathbf{F}_p) \cong D_{\text{tor}}[p]$ .  $\square$

Suppose  $\ell$  is a prime such that  $\ell^2 \nmid N$ . Let  $\mathcal{X}$  be the smooth locus of a minimal proper regular model for  $X_0(N)$  over  $\mathbf{Z}_\ell$ , and let  $\Omega_{\mathcal{X}}$  denote the sheaf of regular differentials as in [MR91, §7] (in the notation of [Maz78, §2(e)], it is the sheaf  $\Omega$  base-changed to  $\mathbf{Z}_\ell$ ).

Recall that  $\pi$  denotes the quotient map  $J_0(N) \rightarrow A$ . Let  $G$  be a subgroup of  $H^0(A_{\mathbf{Z}_\ell}, \Omega_{A_{\mathbf{Z}_\ell}})$ , and consider the chain of inclusions

$$G \hookrightarrow H^0(A_{\mathbf{Z}_\ell}, \Omega_{A_{\mathbf{Z}_\ell}}) \xrightarrow{\pi^*} H^0(J_{\mathbf{Z}_\ell}, \Omega_{J_{\mathbf{Z}_\ell}}) \cong H^0(\mathcal{X}, \Omega_{\mathcal{X}}) \xrightarrow{q\text{-exp}} \mathbf{Z}_\ell[[q]], \quad (1)$$

where the map  $q\text{-exp}$  is the  $q$ -expansion map on differentials as in [Maz78, §2(e)] (actually, Mazur works over  $\mathbf{Z}$ ; our map is obtained by tensoring with  $\mathbf{Z}_\ell$ ). Denote by  $\Phi$  the composite of the maps above. We have the following commutative diagram

$$\begin{array}{ccc} & S_2(\mathbf{Z}_\ell) & \\ \psi \nearrow & & \searrow F\text{-exp} \\ H^0(A_{\mathbf{Z}_\ell}, \Omega_{A_{\mathbf{Z}_\ell}}) & \xrightarrow{\Phi} & \mathbf{Z}_\ell[[q]], \end{array} \quad (2)$$

where  $\psi$  is as in Section 3.1 and  $F\text{-exp}$  is the Fourier expansion map.

We say that a subgroup  $B$  of group  $C$  is *saturated* (in  $C$ ) if the cokernel  $C/B$  is torsion free.

LEMMA 4.2. *If  $\ell \mid N$ , then suppose either that  $A$  is a newform quotient, or that  $\ell$  is odd and  $W_\ell \cdot \psi(G) \subseteq S_2(\mathbf{Z}_\ell)$ . Assume that the induced map  $G \otimes \mathbf{F}_\ell \rightarrow H^0(J_{\mathbf{Z}_\ell}, \Omega_{J_{\mathbf{Z}_\ell}}) \otimes \mathbf{F}_\ell$  is injective. Then the image of  $G$  under the composite of the maps in (1) above is saturated in  $\mathbf{Z}_\ell[[q]]$ .*

*Proof.* By Lemma 4.1, it suffices to prove that the induced map  $\Phi_\ell : G \otimes \mathbf{F}_\ell \rightarrow \mathbf{Z}_\ell[[q]] \otimes \mathbf{F}_\ell = \mathbf{F}_\ell[[q]]$  is injective.

Suppose  $\ell \nmid N$ . Then by the  $q$ -expansion principle, the  $q$ -expansion map  $H^0(\mathcal{X}_{\mathbf{F}_\ell}, \Omega_{\mathcal{X}_{\mathbf{F}_\ell}}) \rightarrow \mathbf{F}_\ell[[q]]$  is injective. The injectivity of  $\Phi_\ell$  now follows since by hypothesis the induced map  $G \otimes \mathbf{F}_\ell \rightarrow H^0(J_{\mathbf{Z}_\ell}, \Omega_{J_{\mathbf{Z}_\ell}}) \otimes \mathbf{F}_\ell = H^0(\mathcal{X}_{\mathbf{F}_\ell}, \Omega_{\mathcal{X}_{\mathbf{F}_\ell}})$  is injective.

Next suppose that  $\ell \mid N$ . By hypothesis,  $\psi(G) + W_\ell \cdot \psi(G) \subseteq S_2(\mathbf{Z}_\ell)$  (note that if  $A$  is a newform quotient, this follows since  $W_\ell$  acts as 1 or  $-1$ ). Hence, in the commutative diagram (2), the map  $\Phi_\ell$  factors as  $G \otimes \mathbf{F}_\ell \xrightarrow{\psi} (\psi(G) + W_\ell \cdot \psi(G)) \otimes \mathbf{F}_\ell \xrightarrow{F\text{-exp}} \mathbf{F}_\ell[[q]]$ , and so  $\ker(\Phi_\ell)$  is invariant under  $W_\ell$ . If the characteristic  $\ell$  is odd, then since  $W_\ell$  is an involution,  $\ker(\Phi_\ell)$  is a direct sum of  $+1$  and  $-1$  eigenspaces for  $W_\ell$ . If  $A$  is associated to a single newform, then  $W_\ell$  acts either as  $+1$  or as  $-1$ . Thus in either case, it suffices to prove that if  $\omega \in \ker(\Phi_\ell)$  is in either the  $+1$  or  $-1$  eigenspace for the action of  $W_\ell$  on  $\ker(\Phi_\ell)$ , then  $\omega = 0$ .

Suppose  $\omega \in \ker(\Phi_\ell)$  is in the  $-1$  eigenspace. Since  $\ell^2 \nmid N$ , we have  $\ell \parallel N$ , and so the reduction  $\mathcal{X}_{\mathbf{F}_\ell}$  is a union of two copies of  $X_0(N/\ell)_{\mathbf{F}_\ell}$  identified transversely at the supersingular points. These two copies are swapped under the action of the Atkin-Lehner involution  $W_\ell$ . Since  $\omega \in \ker(\Phi_\ell)$ , the  $q$ -expansion principle implies that  $\omega$  vanishes on the irreducible component containing the cusp  $\infty$ . Then  $W_\ell(\omega)$  vanishes on the other irreducible component. Since  $W_\ell(\omega) = -\omega$ , we see that  $\omega$  is zero on both components; hence  $\omega = 0$ . Here, we have been thinking of  $G \otimes \mathbf{F}_\ell$  as a subgroup of  $H^0(\mathcal{X}_{\mathbf{F}_\ell}, \Omega_{\mathcal{X}_{\mathbf{F}_\ell}})$ , which we can do by the hypothesis that the induced map  $G \otimes \mathbf{F}_\ell \rightarrow H^0(J_{\mathbf{Z}_\ell}, \Omega_{J_{\mathbf{Z}_\ell}}) \otimes \mathbf{F}_\ell = H^0(\mathcal{X}_{\mathbf{F}_\ell}, \Omega_{\mathcal{X}_{\mathbf{F}_\ell}})$  is injective. A similar argument shows that if  $\omega \in \ker(\Phi_\ell)$  is in the  $+1$  eigenspace for the action of  $W_\ell$ , then  $\omega = 0$ .  $\square$

### 4.3 PROOF OF THEOREM 3.4

We continue to use the notation of Section 4.2 and assume in addition that the hypotheses of Theorem 3.4 are satisfied. To show that  $\ell \nmid c_A$ , we have to show that  $c_A$  is a unit in  $\mathbf{Z}_\ell$ . For this, it suffices to check that the image of  $H^0(A_{\mathbf{Z}_\ell}, \Omega_{A_{\mathbf{Z}_\ell}})$  in  $\mathbf{Z}_\ell[[q]]$  is saturated, since the image of  $S_2(\Gamma_0(N); \mathbf{Z}_\ell)[I]$  is saturated in  $\mathbf{Z}_\ell[[q]]$ . In view of Lemma 4.1, taking  $G = H^0(A_{\mathbf{Z}_\ell}, \Omega_{A_{\mathbf{Z}_\ell}})$ , it suffices to show that the map  $H^0(A_{\mathbf{Z}_\ell}, \Omega_{A_{\mathbf{Z}_\ell}}) \otimes \mathbf{F}_\ell \rightarrow H^0(J_{\mathbf{Z}_\ell}, \Omega_{J_{\mathbf{Z}_\ell}}) \otimes \mathbf{F}_\ell$  is injective.

Since  $A$  is optimal,  $\ell \neq 2$ , and  $J$  has good or semistable reduction at  $\ell$ , [Maz78, Cor 1.1] yields an exact sequence

$$0 \rightarrow H^0(A_{\mathbf{Z}_\ell}, \Omega_{A_{\mathbf{Z}_\ell}}) \rightarrow H^0(J_{\mathbf{Z}_\ell}, \Omega_{J_{\mathbf{Z}_\ell}}) \rightarrow H^0(B_{\mathbf{Z}_\ell}, \Omega_{B_{\mathbf{Z}_\ell}}) \rightarrow 0$$

where  $B = \ker(J \rightarrow A)$ . Since  $H^0(B_{\mathbf{Z}_\ell}, \Omega_{B_{\mathbf{Z}_\ell}})$  is torsion free, by Lemma 4.1 the map  $H^0(A_{\mathbf{Z}_\ell}, \Omega_{A_{\mathbf{Z}_\ell}}) \otimes \mathbf{F}_\ell \rightarrow H^0(J_{\mathbf{Z}_\ell}, \Omega_{J_{\mathbf{Z}_\ell}}) \otimes \mathbf{F}_\ell$  is injective, as was to be shown.

### 4.4 PROOF OF THEOREM 3.9

We continue to use the notation of Section 4.2 and assume in addition that  $A$  is a newform quotient, and that  $\ell \nmid m_A$ . We have to show that then  $\ell \nmid c_A$ . As before, it suffices to check that the image of  $H^0(A_{\mathbf{Z}_\ell}, \Omega_{A_{\mathbf{Z}_\ell}})$  in  $\mathbf{Z}_\ell[[q]]$  is

saturated, since the image of  $S_2(\Gamma_0(N); \mathbf{Z}_\ell)[I]$  is saturated in  $\mathbf{Z}_\ell[[q]]$ . We use Lemma 4.2, with  $G = H^0(A_{\mathbf{Z}_\ell}, \Omega_{A_{\mathbf{Z}_\ell}})$ ; thus it suffices to show that the map  $H^0(A_{\mathbf{Z}_\ell}, \Omega_{A_{\mathbf{Z}_\ell}}) \otimes \mathbf{F}_\ell \rightarrow H^0(J_{\mathbf{Z}_\ell}, \Omega_{J_{\mathbf{Z}_\ell}}) \otimes \mathbf{F}_\ell$  is injective.

The composition of pullback and pushforward in the following diagram is multiplication by the modular exponent of  $A$ :

$$\begin{array}{ccc} & H^0(J_{\mathbf{Z}_\ell}, \Omega_{J/\mathbf{Z}_\ell}) & \\ \pi^* \nearrow & & \searrow \pi_* \\ H^0(A_{\mathbf{Z}_\ell}, \Omega_{A/\mathbf{Z}_\ell}) & \xrightarrow{m_A} & H^0(A_{\mathbf{Z}_\ell}, \Omega_{A/\mathbf{Z}_\ell}) \end{array}$$

Let  $\bar{\pi}_*$  and  $\bar{\pi}^*$  denote the maps obtained by tensoring the diagram above with  $\mathbf{F}_\ell$ . Then  $\bar{\pi}_* \circ \bar{\pi}^*$  is multiplication by an integer coprime to  $\ell$  from the finite dimension  $\mathbf{F}_\ell$ -vector space  $H^0(A_{\mathbf{Z}_\ell}, \Omega_{A/\mathbf{Z}_\ell}) \otimes \mathbf{F}_\ell$  to itself, hence an isomorphism. In particular,  $\bar{\pi}^*$  is injective, which is what was left to show.

REMARK 4.3. Adam Joyce observed that one can also obtain injectivity of  $\bar{\pi}^*$  as a consequence of Prop. 7.5.3(a) of [BLR90].

#### 4.5 PROOF OF THEOREM 3.7

Theorem 3.7 asserts that if  $A = A_f$  is a quotient of  $J = J_0(N)$  attached to a newform  $f$ , and  $\ell$  is a prime such that  $\ell^2 \nmid N$ , then  $\text{ord}_\ell(c_A) \leq \dim(A)$ . Our proof follows [AU96], except at the end we argue using indexes instead of multiples.

Let  $B$  denote the kernel of the quotient map  $J \rightarrow A$ . Consider the exact sequence  $0 \rightarrow B \rightarrow J \rightarrow A \rightarrow 0$ , and the corresponding complex  $B_{\mathbf{Z}_\ell} \rightarrow J_{\mathbf{Z}_\ell} \rightarrow A_{J_{\mathbf{Z}_\ell}}$  of Néron models. Because  $J_{\mathbf{Z}_\ell}$  has semiabelian reduction (since  $\ell^2 \nmid N$ ), Theorem A.1 of the appendix of [AU96, pg. 279–280], due to Raynaud, implies that there is an integer  $r$  and an exact sequence

$$0 \rightarrow \text{Tan}(B_{\mathbf{Z}_\ell}) \rightarrow \text{Tan}(J_{\mathbf{Z}_\ell}) \rightarrow \text{Tan}(A_{\mathbf{Z}_\ell}) \rightarrow (\mathbf{Z}/\ell\mathbf{Z})^r \rightarrow 0.$$

Here  $\text{Tan}$  is the tangent space at the 0 section; it is a free abelian group of rank equal to the dimension. Note that  $\text{Tan}$  is  $\mathbf{Z}_\ell$ -dual to the cotangent space, and the cotangent space is isomorphic to the space of global differential 1-forms. The theorem of Raynaud mentioned above is the generalization to  $e = \ell - 1$  of [Maz78, Cor. 1.1], which we used above in the proof of Theorem 3.4.

Let  $C$  be the cokernel of  $\text{Tan}(B_{\mathbf{Z}_\ell}) \rightarrow \text{Tan}(J_{\mathbf{Z}_\ell})$ . We have a diagram

$$\begin{array}{ccccccc} 0 \rightarrow & \text{Tan}(B_{\mathbf{Z}_\ell}) & \rightarrow & \text{Tan}(J_{\mathbf{Z}_\ell}) & \longrightarrow & \text{Tan}(A_{\mathbf{Z}_\ell}) & \rightarrow (\mathbf{Z}/\ell\mathbf{Z})^r \rightarrow 0. & (3) \\ & & & \searrow & & \nearrow & & \\ & & & & C & & & \end{array}$$

Since  $C \subset \text{Tan}(A_{\mathbf{Z}_\ell})$ , so  $C$  is torsion free, and hence  $C$  is a free  $\mathbf{Z}_\ell$ -module of rank  $d = \dim(A)$ . Let  $C^* = \text{Hom}_{\mathbf{Z}_\ell}(C, \mathbf{Z}_\ell)$  be the  $\mathbf{Z}_\ell$ -linear dual of  $C$ .

Applying the  $\mathrm{Hom}_{\mathbf{Z}_\ell}(-, \mathbf{Z}_\ell)$  functor to the two short exact sequences in (3), we obtain exact sequences

$$0 \rightarrow C^* \rightarrow \mathrm{H}^0(J_{\mathbf{Z}_\ell}, \Omega_{J/\mathbf{Z}_\ell}) \rightarrow \mathrm{H}^0(B_{\mathbf{Z}_\ell}, \Omega_{B/\mathbf{Z}_\ell}) \rightarrow 0,$$

and

$$0 \rightarrow \mathrm{H}^0(A_{\mathbf{Z}_\ell}, \Omega_{A/\mathbf{Z}_\ell}) \rightarrow C^* \rightarrow (\mathbf{Z}/\ell\mathbf{Z})^r \rightarrow 0. \quad (4)$$

The  $(\mathbf{Z}/\ell\mathbf{Z})^r$  on the right in (4) occurs as  $\mathrm{Ext}_{\mathbf{Z}_\ell}^1((\mathbf{Z}/\ell\mathbf{Z})^r, \mathbf{Z}_\ell)$ . Also, (4) implies that  $r \leq d = \dim(A)$ .

Using Lemma 4.2, with  $G = C^*$ , we see that the image of  $C^*$  in  $\mathbf{Z}_\ell[[q]]$  under the composite of the maps in (1) is saturated. The Manin constant for  $A$  at  $\ell$  is the index of the image via  $q$ -expansion of  $\mathrm{H}^0(A_{\mathbf{Z}_\ell}, \Omega_{\mathbf{Z}_\ell})$  in  $\mathbf{Z}_\ell[[q]]$  in its saturation. Since the image of  $C^*$  in  $\mathbf{Z}_\ell[[q]]$  is saturated, the image of  $C^*$  is the saturation of the image of  $\mathrm{H}^0(A_{\mathbf{Z}_\ell}, \Omega_{\mathbf{Z}_\ell})$ , so the Manin index at  $\ell$  is the index of  $\mathrm{H}^0(A_{\mathbf{Z}_\ell}, \Omega_{\mathbf{Z}_\ell})$  in  $C^*$ , which is  $\ell^r$  by (4), hence is at most  $\ell^d$ .

## 5 APPENDIX BY J. CREMONA: VERIFYING THAT $c = 1$

Let  $f$  be a normalised rational newform for  $\Gamma_0(N)$ . Let  $\Lambda_f$  be its period lattice; that is, the lattice of periods of  $2\pi i f(z) dz$  over  $H_1(X_0(N), \mathbf{Z})$ .

We know that  $E_f = \mathbf{C}/\Lambda_f$  is an elliptic curve  $E_f$  defined over  $\mathbf{Q}$  and of conductor  $N$ . This is the optimal quotient of  $J_0(N)$  associated to  $f$ . Our goal is two-fold: to identify  $E_f$  (by giving an explicit Weierstrass model for it with integer coefficients); and to show that the associated Manin constant for  $E_f$  is 1. In this section we will give an algorithm for this; our algorithm applies equally to optimal quotients of  $J_1(N)$ .

As input to our algorithm, we have the following data:

1. a  $\mathbf{Z}$ -basis for  $\Lambda_f$ , known to a specific precision;
2. the type of the lattice  $\Lambda_f$  (defined below); and
3. a complete isogeny class of elliptic curves  $\{E_1, \dots, E_m\}$  of conductor  $N$ , given by minimal models, all with  $L(E_j, s) = L(f, s)$ .

So  $E_f$  is isomorphic over  $\mathbf{Q}$  to  $E_{j_0}$  for a unique  $j_0 \in \{1, \dots, m\}$ .

The justification for this uses the full force of the modularity of elliptic curves defined over  $\mathbf{Q}$ : we have computed a full set of newforms  $f$  at level  $N$ , and the same number of isogeny classes of elliptic curves, and the theory tells us that there is a bijection between these sets. Checking the first few terms of the  $L$ -series (i.e., comparing the Hecke eigenforms of the newforms with the traces of Frobenius for the curves) allows us to pair up each isogeny class with a newform.

We will assume that one of the  $E_j$ , which we always label  $E_1$ , is such that  $\Lambda_f$  and  $\Lambda_1$  (the period lattice of  $E_1$ ) are approximately equal. This is true in practice, because our method of finding the curves in the isogeny class is to

compute the coefficients of a curve from numerical approximations to the  $c_4$  and  $c_6$  invariants of  $\mathbf{C}/\Lambda_f$ ; in all cases these are very close to integers which are the invariants of the minimal model of an elliptic curve of conductor  $N$ , which we call  $E_1$ . The other curves in the isogeny class are then computed from  $E_1$ . For the algorithm described here, however, it is irrelevant how the curves  $E_j$  were obtained, provided that  $\Lambda_1$  and  $\Lambda_f$  are close (in a precise sense defined below).

Normalisation of lattices: every lattice  $\Lambda$  in  $\mathbf{C}$  which defined over  $\mathbf{R}$  has a unique  $\mathbf{Z}$ -basis  $\omega_1, \omega_2$  satisfying one of the following:

- TYPE 1:  $\omega_1$  and  $(2\omega_2 - \omega_1)/i$  are real and positive; or
- TYPE 2:  $\omega_1$  and  $\omega_2/i$  are real and positive.

For  $\Lambda_f$  we know the type from modular symbol calculations, and we know  $\omega_1, \omega_2$  to a certain precision by numerical integration; modular symbols provide us with cycles  $\gamma_1, \gamma_2 \in H_1(X_0(N), \mathbf{Z})$  such that the integral of  $2\pi if(z)dz$  over  $\gamma_1, \gamma_2$  give  $\omega_1, \omega_2$ .

For each curve  $E_j$  we compute (to a specific precision) a  $\mathbf{Z}$ -basis for its period lattice  $\Lambda_j$  using the standard AGM method. Here,  $\Lambda_j$  is the lattice of periods of the Néron differential on  $E_j$ . The type of  $\Lambda_j$  is determined by the sign of the discriminant of  $E_j$ : type 1 for negative discriminant, and type 2 for positive discriminant.

For our algorithm we will need to know that  $\Lambda_1$  and  $\Lambda_f$  are approximately equal. To be precise, we know that they have the same type, and also we verify, for a specific positive  $\varepsilon$ , that

$$\left| \frac{\omega_{1,1}}{\omega_{1,f}} - 1 \right| < \varepsilon \quad \text{and} \quad \left| \frac{\text{im}(\omega_{2,1})}{\text{im}(\omega_{2,f})} - 1 \right| < \varepsilon. \quad (*)$$

Here  $\omega_{1,j}, \omega_{2,j}$  denote the normalised generators of  $\Lambda_j$ , and  $\omega_{1,f}, \omega_{2,f}$  those of  $\Lambda_f$ .

Pulling back the Néron differential on  $E_{j_0}$  to  $X_0(N)$  gives  $c \cdot 2\pi if(z)dz$  where  $c \in \mathbf{Z}$  is the Manin constant for  $f$ . Hence

$$c\Lambda_f = \Lambda_{j_0}.$$

Our task is now to

1. identify  $j_0$ , to find which of the  $E_j$  is (isomorphic to) the “optimal” curve  $E_f$ ; and
2. determine the value of  $c$ .

Our main result is that  $j_0 = 1$  and  $c = 1$ , provided that the precision bound  $\varepsilon$  in (\*) is sufficiently small (in most cases,  $\varepsilon < 1$  suffices). In order to state this precisely, we need some further definitions.

A result of Stevens says that in the isogeny class there is a curve, say  $E_{j_1}$ , whose period lattice  $\Lambda_{j_1}$  is contained in every  $\Lambda_j$ ; this is the unique curve

in the class with minimal Faltings height. (It is conjectured that  $E_{j_1}$  is the  $\Gamma_1(N)$ -optimal curve, but we do not need or use this fact. In many cases, the  $\Gamma_0(N)$ - and  $\Gamma_1(N)$ -optimal curves are the same, so we expect that  $j_0 = j_1$  often; indeed, this holds for the vast majority of cases.)

For each  $j$ , we know therefore that  $a_j = \omega_{1,j_1}/\omega_{1,j} \in \mathbf{N}$  and also  $b_j = \text{im}(\omega_{2,j_1})/\text{im}(\omega_{2,j}) \in \mathbf{N}$ . Let  $B$  be the maximum of  $a_1$  and  $b_1$ .

**PROPOSITION 5.1.** *Suppose that (\*) holds with  $\epsilon = B^{-1}$ ; then  $j_0 = 1$  and  $c = 1$ . That is, the curve  $E_1$  is the optimal quotient and its Manin constant is 1.*

*Proof.* Let  $\epsilon = B^{-1}$  and  $\lambda = \frac{\omega_{1,1}}{\omega_{1,f}}$ , so  $|\lambda - 1| < \epsilon$ . For some  $j$  we have  $c\Lambda_f = \Lambda_j$ . The idea is that  $\text{lcm}(a_1, b_1)\Lambda_1 \subseteq \Lambda_{j_1} \subseteq \Lambda_j = c\Lambda_f$ ; if  $a_1 = b_1 = 1$ , then the closeness of  $\Lambda_1$  and  $\Lambda_f$  forces  $c = 1$  and equality throughout. To cover the general case it is simpler to work with the real and imaginary periods separately.

Firstly,

$$\frac{\omega_{1,j}}{\omega_{1,f}} = c \in \mathbf{Z}.$$

Then

$$c = \frac{\omega_{1,1}}{\omega_{1,f}} \frac{\omega_{1,j}}{\omega_{1,1}} = \frac{a_1}{a_j} \lambda.$$

Hence

$$0 \leq |\lambda - 1| = \frac{|a_j c - a_1|}{a_1} < \epsilon.$$

If  $\lambda \neq 1$ , then  $\epsilon > |\lambda - 1| \geq a_1^{-1} \geq B^{-1} = \epsilon$ , contradiction. Hence  $\lambda = 1$ , so  $\omega_{1,1} = \omega_{1,f}$ . Similarly, we have

$$\frac{\text{im}(\omega_{2,j})}{\text{im}(\omega_{2,f})} = c \in \mathbf{Z}$$

and again we can conclude that  $\text{im}(\omega_{2,1}) = \text{im}(\omega_{2,f})$ , and hence  $\omega_{2,1} = \omega_{2,f}$ .

Thus  $\Lambda_1 = \Lambda_f$ , from which the result follows.  $\square$

**THEOREM 5.2.** *For all  $N < 60000$ , every optimal elliptic quotient of  $J_0(N)$  has Manin constant equal to 1. Moreover, the optimal curve in each class is the one whose identifying number on the tables [Cre] is 1 (except for class 990h where the optimal curve is 990h3).*

*Proof.* For all  $N < 60000$  we used modular symbols to find all newforms  $f$  and their period lattices, and also the corresponding isogeny classes of curves. In all cases we verified that (\*) held with the appropriate value of  $\epsilon$ . (The case of 990h is only exceptional on account of an error in labelling the curves several years ago, and is not significant.)  $\square$

**REMARK 5.3.** In the vast majority of cases, the value of  $B$  is 1, so the precision needed for the computation of the periods is very low. For  $N < 60000$ , out of 258502 isogeny classes, only 136 have  $B > 1$ : we found  $a_1 = 2$  in 13 cases,

$a_1 = 3$  in 29 cases, and  $a_1 = 4$  and  $a_1 = 5$  once each (for  $N = 15$  and  $N = 11$  respectively);  $b_1 = 2$  in 93 cases; and no larger values. Class 17a is the only one for which both  $a_1$  and  $b_1$  are greater than 1 (both are 2).

Finally, we give a slightly weaker result for  $60000 < N < 130000$ ; in this range we do not know  $\Lambda_f$  precisely, but only its projection onto the real line. (The reason for this is that we can find the newforms using modular symbols for  $H_1^+(X_0(N), \mathbf{Z})$ , which has half the dimension of  $H_1(X_0(N), \mathbf{Z})$ ; but to find the exact period lattice requires working in  $H_1(X_0(N), \mathbf{Z})$ .) The argument is similar to the one given above, using  $B = a_1$ .

**THEOREM 5.4.** *For all  $N$  in the range  $60000 < N < 130000$ , every optimal elliptic quotient of  $J_0(N)$  has Manin constant equal to 1, except for the following cases where the Manin constant is either 1 or 2:*

$$62416a, 67664a, 71888e, 72916a, 75092a, 85328d, 86452a, 96116a, \\ 106292b, 112290a, 112290a, 115664a, 121168e, 125332a.$$

*Proof.* We continue to use the notation above. We do not know the lattice  $\Lambda_f$  but only (to a certain precision) a positive real number  $\omega_{1,f}^+$  such that either  $\Lambda_f$  has type 1 and  $\omega_{1,f} = 2\omega_{1,f}^+$ , or  $\Lambda_f$  has type 2 and  $\omega_{1,f} = \omega_{1,f}^+$ . Curve  $E_1$  has lattice  $\Lambda_1$ , and the ratio  $\lambda = \omega_{1,1}^+/\omega_{1,f}^+$  satisfies  $|\lambda - 1| < \varepsilon$ . In all cases this holds with  $\varepsilon = \frac{1}{3}$ , which will suffice.

First assume that  $a_1 = 1$ .

If the type of  $\Lambda_f$  is the same as that of  $\Lambda_1$  (for example, this must be the case if all the  $\Lambda_j$  have the same type, which will hold whenever all the isogenies between the  $E_j$  have odd degree) then from  $c\Lambda_f = \Lambda_j$  we deduce as before that  $\lambda = 1$  exactly, and  $c = a_1/a_j = 1/a_j$ , hence  $c = a_j = 1$ . So in this case we have that  $c = 1$ , though there might be some ambiguity in which curve is optimal if  $a_j = 1$  for more than one value of  $j$ .

Assume next that  $\Lambda_1$  has type 1 but  $\Lambda_f$  has type 2. Now  $\lambda = \omega_{1,1}/2\omega_{1,f}$ . The usual argument now gives  $ca_j = 2$ . Hence either  $c = 1$  and  $a_j = 2$ , or  $c = 2$  and  $a_j = 1$ . To see if the latter case could occur, we look for classes in which  $a_1 = 1$  and  $\Lambda_1$  has type 1, while for some  $j > 1$  we also have  $a_j = 1$  and  $\Lambda_j$  of type 2. This occurs 29 times for  $60000 < N < 130000$ , but for 15 of these the level  $N$  is odd, so we know that  $c$  must be odd. The remaining 14 cases are those listed in the statement of the Theorem. We note that in all of these 14 cases, the isogeny class consists of two curves,  $E_1$  of type 1 and  $E_2$  of type 2, with  $[\Lambda_1 : \Lambda_2] = 2$ , so that  $E_2$  rather than  $E_1$  has minimal Faltings height.

Next suppose that  $\Lambda_1$  has type 2 but  $\Lambda_f$  has type 1. Now  $\lambda = 2\omega_{1,1}/\omega_{1,f}$ . The usual argument now gives  $2ca_j = 1$ , which is impossible; so this case cannot occur.

Finally we consider the cases where  $a_1 > 1$ . There are only three of these for  $60000 < N < 130000$ : namely, 91270a, 117622a and 124973b, where  $a_1 = 3$ . In each case the  $\Lambda_j$  all have the same type (they are linked via 3-isogenies) and

the usual argument shows that  $ca_j = 3$ . But none of these levels is divisible by 3, so  $c = 1$  in each case.  $\square$

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